



**Cabramatta Loop Project | State Significant Infrastructure**

# INDEPENDENT ENVIRONMENTAL AUDIT

Prepared for Fulton Hogan Construction | 7 April 2022



# Cabramatta Loop Project

## STATE SIGNIFICANT INFRASTRUCTURE | INDEPENDENT ENVIRONMENTAL AUDIT

Prepared for Fulton Hogan Construction  
7 April 2022

PR188

Prepared by		Reviewed by
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Signature		
Date	7 April 2022	7 April 2022

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## DOCUMENT CONTROL

Revision	Date	Description	Prepared by	Reviewed by
0	17/02/2022	For FHC review	Element Environment	Fulton Hogan Construction
1	17/03/2022	Address ARTC comment	Element Environment	Fulton Hogan Construction
2	23/03/2022	Add Mark Roberts to Audit Declaration	Element Environment	Fulton Hogan Construction
3	07/04/2022	Updated Appendix A	Element Environment	Fulton Hogan Construction

## Audit declaration

<b>Project name</b>	Cabramatta Loop Project
<b>Consent number</b>	SSI 9186
<b>Description of project</b>	<p>Cabramatta Loop Project involves construction of a passing loop for up to 1,300 m length trains on the SSFL, which would allow freight trains travelling in opposite directions to pass and provide additional rail freight capacity along the SSFL.</p> <p>Key features of the Project, as described in the EIS and as amended by the Submissions Report, are:</p> <ul style="list-style-type: none"><li>• New rail track – providing a 1,650 m long section of new track adjacent to the existing track, with connections to the existing track at the northern and southern ends.</li><li>• Track realignment – moving about 550 m of existing track sideways (slewing) to make room for the new track.</li><li>• Bridge works – constructing two new bridges adjacent to the existing rail bridges over Sussex Street and Cabramatta Creek.</li><li>• Road works – reconfiguring Broomfield Street for 680 m between Sussex and Bridge streets.</li></ul> <p>Ancillary works comprise communication, signalling and power upgrades, works to existing retaining and noise walls, drainage work and protecting/ relocating utilities. In addition, minor works in the form of new signalling will be installed at a number of locations within the rail corridor.</p>
<b>Project address</b>	1 Hume Highway, Warwick Farm NSW
<b>Proponent</b>	Fulton Hogan Construction
<b>Title of audit</b>	Cabramatta Loop Project Independent Environmental Audit

I declare that I have undertaken the Independent Environmental Audit and prepared the contents of this independent audit report and to the best of my knowledge:

The audit was in accordance with relevant condition(s) of consent.

The findings of the audit are reported truthfully, accurately and completely.

I have exercised due diligence and professional judgement in conducting the audit.

I have acted professionally, objectively and in an unbiased manner.

I am not related to any proponent, owner or operator of the project neither as an employer, business partner, employee, or by sharing a common employer, having a contractual arrangement outside the audit, or by relationship as spouse, partner, sibling, parent, or child.

I do not have any pecuniary interest in the audited project, including where there is a reasonable likelihood or expectation of financial gain or loss to me or spouse, partner, sibling, parent, or child. Neither I nor my employer have provided consultancy services for the audited project that were subject to this audit except as otherwise declared to the Department prior to the audit.

I have not accepted, nor intend to accept any inducement, commission, gift or any other benefit (apart from payment for auditing services) from any proponent, owner or operator of the project,

their employees or any interested party. I have not knowingly allowed, nor intend to allow my colleagues to do so.

<b>Name of Auditor</b>	Irina Kliger	Darren Green	Mark Roberts
<b>Signature</b>			
<b>Company</b>	Element Environment Pty Ltd	Element Environment Pty Ltd	Element Environment Pty Ltd
<b>Date</b>	7 April 2022	7 April 2022	7 April 2022



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# CHAPTER 1

## INTRODUCTION



# 1 INTRODUCTION

## 1.1 Background

Cabramatta Loop Project (the Project) involves construction of a passing loop for up to 1,300 m length trains on the South Sydney Freight Line (SSFL), which will allow freight trains travelling in opposite directions to pass and provide additional rail freight capacity along the SSFL.

The project is partly in the existing rail corridor between the Hume Highway and Cabramatta Road East road overbridges, in the suburbs of Warwick Farm and Cabramatta. In addition, the project includes works in Broomfield Street and Jacquie Osmond Reserve, adjacent to the rail corridor. The project is in the local government areas of Fairfield and Liverpool.

Key features of the Project, as described in the EIS and as amended by the Submissions Report, are:

- New rail track – providing a 1,650 m long section of new track adjacent to the existing track, with connections to the existing track at the northern and southern ends.
- Track realignment – moving about 550 m of existing track sideways (slewing) to make room for the new track.
- Bridge works – constructing two new bridges adjacent to the existing rail bridges over Sussex Street and Cabramatta Creek.
- Road works – reconfiguring 680 m of Broomfield Street between Sussex and Bridge streets.

Ancillary works comprise communication, signalling and power upgrades, works to existing retaining and noise walls, drainage work and protecting/relocating utilities. In addition, minor works in the form of new signalling will be installed at a number of locations in the rail corridor.

A State significant infrastructure approval (SSI 9186) for the Project was granted by the Minister for Planning and Public Spaces on 28 July 2020 and construction commenced on 1 November 2021. Fulton Hogan Construction Pty Ltd (FHC) was engaged by Australian Rail Track Corporation (ARTC) to construct the Project.

It is a requirement of Condition A28 of SSI 9186 and the *Independent Audit Post Approval Requirements* (DPIE, May 2020) (the Independent Audit PAR) that an initial independent audit of the Project be carried out within 12 weeks of the commencement of construction.

## 1.2 Audit team

The following audit team has been agreed by the Secretary of the Department of Planning, Industry and Environment (DPIE) as suitably qualified, experienced and independent to undertake this audit (refer Appendix B):

- Lead auditor – Darren Green.
- Auditor – Irina Kliger.
- Peer reviewer and challenger – Mark Roberts.

Mark has reviewed audit findings and challenged declarations of compliance, where necessary. Darren reviewed audit findings and ensured quality and due diligence protocols were implemented during the audit.

Irina undertook the audit and documentary review, determined compliance and prepared the audit report.

## Darren Green

Darren has over 14 years' experience in environmental impact assessment, environmental planning and environmental management, across the transport, infrastructure, water, extractive, construction and waste sectors. Darren is a certified RABQSA ISO 14001 Lead Auditor (TPECS).

## Irina Kliger

Irina has over 25 years experience in environmental assessment and management. Irina has conducted numerous compliance audits during her time at RMS against RMS environmental specifications, during time as NSW/ACT Environment Manager at Fulton Hogan undertaking internal audits for the major Foxground to Berry Bypass, Grafton River Bridge, King Georges Road Intersection upgrades and White Rock Windfarm projects.

## Mark Roberts

Mark has over 10 years' experience in the environment sector and has been involved with the preparation and management of a range of environmental impact assessments under both NSW and Commonwealth legislation including a number of SSD applications; environmental management plans and strategies; constraints analyses; bushfire and hazard assessment; and environmental planning advice. Mark has recently completed an independent audit of the Bringelly Brickworks and Quarry Expansion.

## 1.3 Audit objectives

The objective of this audit is to obtain an independent and unbiased assessment of the environmental performance and compliance status of the Project.

## 1.4 Audit scope

Refer to Section 2.1.

## 1.5 Audit period

It is a requirement of the Independent Audit PAR that an initial independent audit of the Project be carried out within 12 weeks of the commencement of construction. The construction of the Project commenced on 1 November 2021.

# CHAPTER 2

AUDIT METHOD



## 2 AUDIT METHOD

### 2.1 Development of audit scope

In accordance with Section 3.3 of the Independent Audit PAR, the audit scope comprised:

- An assessment of compliance with all conditions of consent applicable to the current phase of the Project.
- An assessment of compliance with all post approval and compliance documents prepared to satisfy the conditions of consent, including an assessment of the implementation of environmental management plans (EMPs) and sub-plans, including a high-level assessment of whether EMPs and sub-plans are adequate.
- A review of the environmental performance of the Project, including an assessment of actual impacts compared to predicted impacts documented in the environmental impact assessment.
- The physical extent of the Project in comparison with the approved boundary.
- Incidents, non-compliances and complaints that occurred or were made during the audit period.
- Feedback received from DPIE and other agencies and stakeholders in relation to the environmental performance of the Project during the audit period.

In accordance with Section 3.2 of the Independent Audit PAR the auditors consulted with DPIE to obtain input into the scope of the audit on 14 January 2022. DPIE in its response on 18 January 2022 requested that the audit give particular attention to:

- The completion of the pre-commencement property condition surveys in accordance with condition E27 of the Approval.
- The maintenance of site access to Jacquie Osmond Reserve.
- The temporary relocation of the mixed user path between Railway Pde and Broomfield St.
- Implementation of the construction environmental management plan (CEMP) and its sub plans.
- Implementation of the communications strategy, particularly with regard to the handling of stakeholder enquiries / complaints.

#### 2.1.1 Complaints or incidents

No complaints have been received and no incidents have been recorded during the audit period.

#### 2.1.2 Consultation

In accordance with Section 3.2 of the Independent Audit PAR the auditors consulted with DPIE to obtain its input into the scope of the audit on 14 January 2022 (refer Section 2.1.1 of this report) (Appendix C).

DPIE advised that consultation with any additional stakeholders with regard to the audit scope is not required at this time.

### 2.2 Compliance evaluation

The compliance status descriptors applied to this audit are consistent with Independent Audit PAR (Table 2.1).

**Table 2.1 Compliance status descriptors in this audit**

Descriptor	Definition
Compliant	The auditor has collected sufficient verifiable evidence to demonstrate that all elements of the requirement have been complied with within the scope of the audit.
Non-compliant	The auditor has determined that one or more specific elements of the conditions or requirements have not been complied with within the scope of the audit.
Not triggered	A requirement has an activation or timing trigger that has not been met during the temporal scope of the audit being undertaken (may be a retrospective or future requirement), therefore an assessment of compliance is not relevant.

The audit comprised evaluating compliance based on verifiable evidence. Verifiable evidence included:

- review of the relevant records, documents and reports including correspondence and website content.
- visual observation and photographs taken during a site inspection.
- on-site (during site inspection) and off-site (via Teams) interviews with the relevant project personnel, and
- review of the environmental monitoring data and analysis including the period covered by the monitoring data.

The evidence used to verify the compliance status is documented in the Independent Audit Table provided in **Error! Reference source not found.**

## 2.3 Opening meeting

The audit commenced with an opening meeting at the main site compound on 20 January 2022. The purpose of the opening meeting was to confirm the scope of the audit, the audit method and agree on the audit schedule. The meeting agenda was:

- introductions and welcome;
- audit objectives;
- scope of the audit;
- confirmation of audit criteria;
- audit schedule including any access limitations during the inspection;
- any clarifications or questions in relation to the above; and
- meeting closure.

Attendees at the opening meeting were:

- Irina Kliger – auditor – Element.
- Hadi Johan – Environmental Manager – ARTC.
- Sarah Brown – Environmental Advisor – ARTC.
- Shannon Chisholm – Environmental Manager – FHC.
- Scott Bryce – Construction Manager – FHC.
- Alan Taylor – Superintendent – FHC.
- Melinda Lowe – Communication & Stakeholder Engagement Manager – FHC.
- George Kollias – Environmental Representative – Healthy Buildings International (HBI).



## 2.4 Interviews

The following FHC and ARTC personnel were interviewed during the audit:

- Hadi Johan – Environmental Manager – ARTC.
- Sarah Brown – Environmental Advisor – ARTC.
- Shannon Chisholm – Environmental Manager – FHC.
- Melinda Lowe – Communication & Stakeholder Engagement Manager – FHC.
- Christian Reynolds – Senior Project Engineer – FHC.
- Alan Taylor – Superintendent – FHC.
- George Kollias – Environmental Representative – Healthy Buildings International (HBI).

## 2.5 Site inspection

The site was inspected on 20 January 2022. The auditor was accompanied by Hadi Johan and Sarah Brown from ARTC, Shannon Chisholm and Alan Taylor from FHC and George Kollias (ER). The site inspection comprised a walk over the entire extent of the Project, including:

- main site compound and access arrangements to Jacquie Osmond Reserve;
- work areas around the temporary relocation of the mixed user path between Railway Pde and Broomfield St;
- inspection of the condition of access road (Station St) to the main compound and Jacqui Osmond Reserve;
- a walk along Railway Pde and Broomfield St; and
- the area for upcoming signalling out-of-hour (OOH) works at Warwick Farm Station.

There were no areas within the approved Project boundary that were inaccessible or had restricted access during the site inspection.

## 2.6 Post-inspection meeting

A post-inspection meeting was held on 20 January 2022 where the auditor summarised preliminary site inspection findings and explained the next steps. The auditor advised that due to Covid19 restrictions collection of further audit evidence and interviews with key personnel will continue in the following days via Teams and electronic submissions of the documents and records.

## 2.7 Closing meeting

An audit closing meeting was held on 10 February 2022 where preliminary audit findings were presented, recommendations were made, and any post-audit actions confirmed.



# CHAPTER 3

## AUDIT FINDINGS



### 3 AUDIT FINDINGS

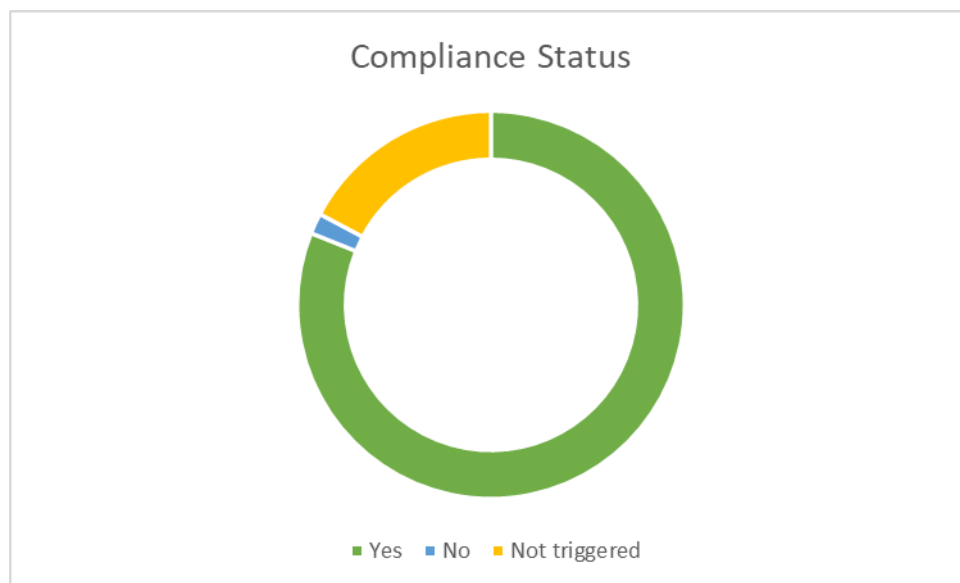
This section documents the audit findings based on a review of available evidence during the audit period, evaluated against the audit criteria.

#### 3.1 Compliance performance

Compliance performance is assessed against the audit criteria detailed in the Independent Audit Table provided in **Error! Reference source not found..** Findings are based on an evaluation of the evidence provided, site interviews, site observations and other information as documented.

A photo log of site observations is included in Appendix D. A summary of compliance findings against the audit scope is shown in Chart 3.1. The detailed evidence and findings are provided in **Error! Reference source not found..**

Chart 3.1 Compliance status breakdown by descriptors



#### 3.2 Summary of agency notices, orders, penalty notices or prosecutions

The development has not been issued with agency notices, enforcements, orders, penalty notices or prosecutions during the audit period.

#### 3.3 Audit non-compliances

Findings for non-compliances identified during the audit are described in Table 3.1.

**Table 3.1 Audit non-compliance findings**

Condition	Findings	Recommendations
A4(f)	Refer details of non-compliance with Condition E27 of this approval.	As per Condition E27.
<p>E27 Condition Survey Before commencement of any construction, a structural engineer must undertake condition surveys of all buildings, structures, utilities and the like that are identified in the Noise and Vibration CEMP Sub-Plan as being at risk of damage due to construction vibration. The results of the surveys must be documented in a Condition Survey Report for each item surveyed. Copies of Condition Survey Reports must be provided to the owners of the items surveyed, and no later than one month before the commencement of construction, or as otherwise instructed or agreed to by the utility operator.</p>	<p>The surveys commenced on 24 Sept 2021 but only approximately 30% (25 completed survey reports) of the properties that were identified in the Noise and Vibration CEMP Sub-Plan as being at risk of damage due to construction vibration were able to be surveyed to date.</p> <p>An email from ARTC to DPIE dated 5 November 2021 highlighted the difficulties complying with the timing requirements of this condition and explained that the issue is largely due to the inability to access and approach residents in person during COVID-19 lockdown restrictions over previous months, and an understandable hesitancy from residents to allow the Contractor to enter their private homes, all of which is reflected in the low take up rate so far.</p> <p>It was noted that structural engineer's attempts and property owners' rejections of offers were recorded in FHC's Consultation Manager, however, these could not be accepted as evidence of compliance. Condition E27 does not include any provisions or exclusions for the properties that reject the surveys and only completed survey reports can be accepted as evidence of compliance</p>	<p>It is recommended that FHC develop a simple form for the landowners to sign when they reject the offer or use photos with time and location stamp when at the property to document their attempts to carry out the surveys.</p>

## 3.4 Previous audit recommendations

This is the first independent audit of the Project. There have not been any previous independent environmental audits.

## 3.5 CEMP, subplans and compliance documents

All EMPs, subplans and compliance documents requiring implementation under SSI 9186 by the time of this audit have been prepared, approved by either the ER or DPIE and their implementation was observed during the site inspection and site interviews.

A high level assessment of the CEMP and sub-plans confirmed that they are adequate and have been properly implemented. However, a few opportunities for improvement were identified and a summary of these is provided in Table 3.2.

**Table 3.2 Opportunities for improvement**

Issue	Opportunity for improvement	Recommendations
Environmental work method statements (EWMSs)	The CEMP states that EWMS will be prepared for the activities assessed as having residual high environmental risk or activities that impact on environmentally sensitive areas. It was noted, however, that the Environmental Aspects and Impacts Register included in Appendix A3 of the CEMP has no activities listed that have high residual environmental risk. The CEMP does not define the 'activities that impact on environmentally sensitive areas' either.	It is recommended that FH review and clearly define high environmental risk activities that require an EWMS.
Pollution incident notification	<p>Although incident notification is addressed in Chapter 7 of the CEMP, its format is not considered practical. For example, a list of the relevant authorities to be notified is provided but the phone numbers are included in the Contacts List on page vi. In case of a serious pollution incident threatening material harm, it is critical that all relevant information is readily available and that the roles and responsibilities for the notification and reporting as well as the internal and external notification pathways are clearly defined and communicated to the project team.</p> <p>Also the following statement "Upon consultation with an ARTC environmental staff member, Eastern Region Environment Manager and the Eastern Region Operations Manager, the Environmental Manager will notify each relevant authority immediately .." is considered misleading as it implies that ARTC should be consulted first before notifying the authorities. This can potentially lead to a non-compliance with Section 148 of the POEO Act which stipulates that notification must be done "immediately after the person becomes aware of the incident".</p>	<p>It is recommended that:</p> <p>CEMP be revised to ensure compliance with Section 148 of the POEO Act.</p> <p>A one-page pollution incident notification protocol, preferably in a flowchart form, be developed and included in the CEMP.</p>
Project environmental induction	The content of the induction is not consistent with Section 5.1 of the CEMP. It does not include any references to the CEMP and sub-plans, the relevant approvals, licences and permits, potential environmental emergencies on the project and the emergency response procedures, a definition of a pollution incident and incident notification and reporting requirements.	It is recommended that the induction be revised to include references to the CEMP and sub-plans, the relevant approvals, licences and permits, potential environmental emergencies on the project and the emergency response procedures, a definition of a pollution incident and incident notification and reporting requirements.
Local roads condition monitoring (CoA E41)	Although road dilapidation surveys have been completed, FHC currently has no system or process in place for ongoing monitoring and recording of any damage to local roads that can occur as a result of the construction of the project, as required by E41.	It is recommended that the means and methods to monitor compliance with this condition be agreed on and that these be recorded and included in the next review of the Traffic and Transport Management Plan (TMP).
Areas of the project with shared use/responsibilities	During the site inspection on 20 Jan 2022 a number of project areas were identified that are within the approved project boundaries (and therefore are the responsibility of ARTC/FHC) but are also used by other entities such the local councils or other contractors carrying out works on behalf of ARTC (refer section 3.10	It is recommended that ARTC and FHC clearly identify all areas within the approved project boundary that are used



Issue	Opportunity for improvement	Recommendations
	Site Inspection and site photos provided in Appendix D) It was noted that the activities of these entities are largely outside of FHC control have the potential to result in environmental non-compliances or pollution incidents.	by other entities and develop a uniform strategy of dealing with the potential compliance issues arising from shared use.

## 3.6 Environmental performance

The audit found that the environmental performance of the Project was generally adequate. No inconsistencies or non-compliances between actual and predicted impacts documented in environmental impact assessment were identified during the audit. The Project's commitment to environmental excellence was evident from:

- the depth of knowledge and understanding of the key project environmental risks demonstrated by ARTC and FHC staff during the site inspection and subsequent interviews;
- the quality of the environmental controls in all active work areas observed during the site inspection;
- the quality of information about the Project and upcoming construction works provided to the community and stakeholders through onsite signage, letterbox drops and updates on ARTC project website, and
- positive community feedback received by FHC and recorded in the Consultation Manager, Compliments Register and social media.

## 3.7 Consultation outcomes

DPIE advised that consultation with any additional stakeholders with regard to the audit scope is not required at this time.

## 3.8 Complaints

A review of the Complaints Register and interviews with FHC Communication & Stakeholder Engagement Manager confirmed that no complaints were received during the audit period.

## 3.9 Incidents

No environmental incidents were recorded by the Project during the audit period.

## 3.10 Site inspection

The site inspection was carried out on 20 January 2022. Visual observations during the inspection confirmed that the environmental controls in all active work areas were adequate and consistent with the approved CEMP and sub-plans (refer Appendix D). The following issues were identified during the inspection:

- Minor soil tracking from the grass verge onto Broomfield Rd at the northern end of temporary relocation of the mixed user path works. The issue was acknowledged by FHC and immediately rectified.
- One unlabelled old skip bin left in the grassed area at the main compound that could be used for illegal dumping.
- A few areas within the approved project boundaries (and therefore are the responsibility of ARTC/FHC) that were used by the local councils or other contractors carrying out works on behalf of ARTC (refer improvement opportunities and recommendations in Table 3.2).

## 3.11 Interviews

The personnel listed in Section 0 were interviewed during the audit. Site interviews were generally structured around understanding of the compliance issues, key environmental risks and

implementation of the management and mitigations measures included in the CEMP and sub-plans.

Overall, the interviews demonstrated that the personnel had a good understanding of environmental risks and controls required to mitigate these risks and comply with SSI 9186.







# APPENDIX A

INDEPENDENT AUDIT TABLE





Condition	Condition/Commitment/Mitigation Measure	Applicable	Compliant	Details of Compliance	Evidence
<b>GENERAL</b>					
A1	The CSSI must be carried out in accordance with the terms of this approval and generally in accordance with the description of the CSSI in the Cabramatta Loop Project Environmental Impact Statement – Volumes 1 to 5 dated 15 August 2019 as amended by the Cabramatta Loop Project Submissions Report dated 20 February 2020.	Yes	Yes	Compliance achieved through detailed design and implementation of the Construction Environmental Management Plan and sub-plans prepared in accordance with the Cabramatta Loop Project Environmental Impact Statement – Volumes 1 to 5 dated 15 August 2019 as amended by the Cabramatta Loop Project Submissions Report dated 20 February 2020 and this approval.	Implementation of the CEMP and sub-plans Implementation of the Communication Strategy and Complaints Management System Detailed design
A2	The CSSI must be carried out in accordance with all procedures, commitments, preventative actions, performance criteria and mitigation measures set out in the documents described in Condition A1 unless otherwise specified in, or required under, this approval.	Yes	Yes	As per Condition A1.	As per Condition A1.
A3	In the event of an inconsistency between the documents listed in Condition A1 or any other document required under this approval, and a term of this approval, the term of this approval prevails to the extent of the inconsistency.  <i>Note: For the purpose of this condition, there will be an inconsistency between a term of this approval and any document if it is not possible to comply with both the term and the document.</i>	Yes	Yes	On 13 August 2021 ARTC wrote to DPIE requesting clarification regarding the intent and interpretation of Conditions A23 to A30 of the approval. DPIE letter dated 1 September 2021 confirmed inconsistencies between Conditions A23 to A30 of SSI 9186 and the Department's Compliance Reporting Post Approval Requirements (Compliance Reporting PAR) (DPIE, May 2020) and the Independent Audit Post Approval Requirements (Independent Audit PAR) (DPIE, May 2020). The Department confirmed that the intent of these conditions was adherence to the Post Approval Requirements (DPIE, May 2020). The Department further stated that it supports ARTC's interpretation that compliance reporting and independent auditing on the Cabramatta Loop Project should be carried out in direct compliance with the Compliance Reporting PAR (DPIE, May 2020) and the Independent Audit PAR (DPIE, May 2020) respectively. The Department also noted that Conditions A23 to A30 (and any other associated conditions) must still be complied with except for where these conditions are inconsistent with the Post Approval Requirements.	ARTC letter to DPIE dated 13 August 2021. DPIE letter to ARTC dated 1 September 2021.
A4	The Proponent must comply with all written requirements or directions of the Planning Secretary, in a timely manner, including in relation to:  (a) the environmental performance of the CSSI; (b) any document or correspondence in relation to the CSSI (including the provision of such documentation or correspondence); (c) any independent appointment or dismissal made in relation to the CSSI; (d) any notification given to the Planning Secretary under the terms of this approval; (e) any audit of the construction or operation of the CSSI; (f) the terms of this approval and compliance with the terms of this approval (including anything required to be done under this approval); (g) the carrying out of any additional monitoring or mitigation measures; and (h) in respect of ongoing monitoring and management obligations, compliance with an updated or revised version of a guideline, protocol, Australian Standard or policy required to be complied with under this approval.	Yes	No	Refer details of non-compliance with Condition E27 of this approval.	As per Condition E27.
A5	Where the terms of this approval require a document or monitoring program to be prepared or a review to be undertaken in consultation with identified parties, evidence of the consultation undertaken must be submitted to the Planning Secretary with the document. The evidence must include:  (a) documentation of the engagement with the party identified in the condition of approval that has occurred before submitting the document for approval; (b) a log of the dates of engagement or attempted engagement with the identified party and a summary of the issues raised by them; (c) documentation of the follow-up with the identified party where engagement has not occurred to confirm that they do not wish to engage or have not attempted to engage after repeated invitations; (d) outline of the issues raised by the identified party and how they have been addressed; and (e) a description of the outstanding issues raised by the identified party and the reasons why they have not been addressed.	Yes	Yes	Evidence of consultation is included in the following documents: - Traffic and Transport Management Plan - section 1.4 - Noise and Vibration Management Plan including noise and vibration monitoring program - section 1.4 - Soil and Water Management Plan including water quality - section 1.4	Traffic and Transport Management Plan - section 1.4 Noise and Vibration Management Plan including noise and vibration monitoring program - section 1.4 Soil and Water Management Plan including water quality - section 1.4
A6	This approval lapses five (5) years after the date on which it is granted, unless works are physically commenced on or before that date.	Yes	Yes	This approval was issued on 28 July 2020 and is still current.	N/A
A7	References in the terms of this approval to any guideline, protocol, Australian Standard or policy are to such guidelines, protocols, Standards or policies in the form they are in as at the date of this approval.	Yes	Yes	Noted. The references to any guideline, protocol, Australian Standard or policies included in the CEMP and Sub-Plans are consistent with this approval.	
A8	Any document that must be submitted within a timeframe specified in or under the terms of this approval may be submitted within a later timeframe agreed with the Planning Secretary. This condition does not apply to the immediate written notification required in respect of an incident under Condition A31. The Proponent must provide supporting evidence so that the Secretary can consider the need, environmental impacts and consistency of any request.	Yes	Yes	All documents required to be submitted by this approval were submitted within a timeframe specified in or under the terms of this approval.	The following documents were submitted to the Planning Secretary within a specified time frame: - Nomination of the ER one month before the commencement of works - Independent Audit Program 4 weeks before commencement of construction - Communication Strategy 1 month before commencement of construction - An Out-of-Hours Work Protocol before commencement of the relevant out-of-hours work - Proposed design of the altered softball facilities at Jacquie Osmond Reserve 1 month before commencement of work at the reserve
<b>STAGING</b>					
A9	The CSSI may be constructed and operated in stages. Where staged construction or operation is proposed, a Staging Report (for either or both construction and operation as the case maybe) must be prepared and submitted to the Planning Secretary for information. The Staging Report must be submitted to the Planning Secretary no later than one month before the commencement of construction of the first of the proposed stages of construction (or if only staged operation is proposed, one month before the commencement of operation of the first of the proposed stages of operation).	Yes	Yes	The project is not proposed to be constructed or operated in stages.	CEMP Sections 2.2 and 2.3.
A10	The Staging Report must:  (a) if staged construction is proposed, set out how the construction of the whole of the CSSI will be staged, including details of work and other activities to be carried out in each stage and the general timing of when construction of each stage will commence and finish; (b) if staged operation is proposed, set out how the operation of the whole of the CSSI will be staged, including details of work and other activities to be carried out in each stage and the general timing of when operation of each stage will commence and finish (if relevant); (c) specify how compliance with conditions will be achieved across and between each of the stages of the CSSI; and (d) set out mechanisms for managing any cumulative impacts arising from the proposed staging.	Yes	Yes	As per Condition A9 above.	As per Condition A9 above.
A11	The CSSI must be staged in accordance with the Staging Report, as submitted to the Planning Secretary.	Yes	Yes	As per Condition A9 above.	As per Condition A9 above.
A12	Where staging is proposed, the terms of this approval that apply or are relevant to the works or activities to be carried out in a specific stage must be complied with at the relevant time for that stage.	Yes	Yes	As per Condition A9 above.	As per Condition A9 above.
<b>ENVIRONMENTAL REPRESENTATIVE</b>					
A13	Work must not commence until an ER has been approved by the Planning Secretary and engaged by the Proponent.	Yes	Yes	Nominated ER was approved by the Planning Secretary on 9 November 2020. Construction of the project commenced on 1 November 2021.	DPIE's letter of approval of the nominated ER dated 9 November 2020.
A14	The Planning Secretary's approval of an ER must be sought no later than one month before the commencement of works.	Yes	Yes	ARTC submitted ER nomination to DPIE on 2 November 2020.	Letter from the ARTC to DPIE dated 2 November 2020 requesting approval of the nominated ER.
A15	The proposed ER must be a suitably qualified and experienced person who was not involved in the preparation of the EIS or Submissions Report and is independent from the Proponent and organisations involved in the design and construction of the CSSI. Skills, qualifications, experience, availability and capacity of the ER must meet the requirements of the Environmental Representative Protocol (Department of Planning and Environment, October 2018). The appointment of the ER must be consistent / have regard with the DPIE's guideline Seeking Approval From the Department for the Appointment of Independent Experts (DPIE, 2020).	Yes	Yes	DPIE's letter of approval of the nominated ER confirms that the ER is a suitably qualified and experienced person who was not involved in the preparation of the EIS or Submissions Report and is independent from the ARTC and organisations involved in the design and construction of the project.	DPIE's letter of approval of the nominated ER dated 9 November 2020.
A16	The Proponent may engage more than one ER for the CSSI, in which case the functions to be exercised by an ER under the terms of this approval may be carried out by any ER that is approved by the Planning Secretary for the purposes of the CSSI.	Yes	Yes	DPIE's approval for an alternate ER was sought by ARTC on 2 August 2021 and the nominated alternate ER was approved by DPIE on 10 August 2021.	Letter from the ARTC to DPIE dated 2 August 2021 requesting approval of the alternate independent ER. DPIE's letter of approval of the alternate independent ER dated 10 August 2021.
A17	For the duration of work, or as agreed with the Planning Secretary, the approved ER must:  (a) receive and respond to communication from the Planning Secretary in relation to the environmental performance of the CSSI; (b) consider and inform the Planning Secretary on matters specified in the terms of this approval; (c) consider and recommend to the Proponent any improvements that may be made to work practices to avoid or minimise adverse impact to the environment and to the community; (d) approve documents identified in Conditions A23, C1, C4 and C8 after verifying all relevant matters set out in this approval pertaining to those documents have been met and make a written statement to the Planning Secretary to this effect; (e) regularly monitor the implementation of the documents listed in Conditions A23, C1, C4 and C8 to ensure implementation is being carried out in accordance with the document and the terms of this approval; (f) as may be requested by the Planning Secretary, help plan, attend or undertake audits of the development commissioned by DPIE including scoping audits, programming audits, briefings and site visits, but not independent environmental audits required under Condition A29 of this approval; (g) as may be requested by the Planning Secretary, assist DPIE in the resolution of community complaints; (h) consider the impacts of minor construction ancillary facilities as required by Condition A22 of this approval; and (i) prepare and submit to the Planning Secretary and other relevant regulatory agencies, for information, an Environmental Representative Monthly Report providing the information set out in the Environmental Representative Protocol under the heading "Environmental Representative Monthly Reports". The Environmental Representative Monthly Report must be submitted within seven (7) days following the end of each month for the duration of the ER's engagement for the CSSI.	Yes	Yes	ER's responsibilities are included in Section 4.1.1 of the CEMP. Compliance confirmed through: - review of ER Monthly Reports; - review of ER site inspection reports - letter of approval of the CEMP and sub-plans  All ER reports were submitted to DPIE within seven (7) days following the end of each month. It was noted that in consideration of the holiday period and site shutdown an extension was granted by the Planning Secretary on 23 December 2021 for submission of the December report in January.	Section 4.1.1 of the CEMP ER Monthly Reports ER site inspection reports DPIE email to ER dated 23/12/21 granting approval for later submission of Dec 21 report

A18	The Proponent must provide the ER with all documentation requested by the ER in order for the ER to perform their functions specified in Condition A17, as well as:  (a) the complaints register – to be provided on a weekly basis for any complaints received; and (b) a copy of any assessment carried out by the Proponent of whether proposed work is consistent with the approval (which must be provided to the ER before the commencement of the subject work).	Yes	Yes	Interviews with the ER confirmed that: - FH & ARTC have generally been helpful with any documentation required to fulfil his role - the complaints register is provided on a weekly basis; to date the project received no complaints. - no consistency assessment have been carried out to date.	Interviews with the ER held on 20 January 2022 and 10 February 2022.
A19	The Planning Secretary may at any time commission an audit of an ER's exercise of its functions under Condition A17. The Proponent must:  (a) facilitate and assist the Planning Secretary in any such audit; and (b) make it a term of their engagement of an ER that the ER facilitate and assist the Planning Secretary in any such audit.  <i>Note: The Planning Secretary may dismiss the ER should they consider the ER has not exercised their functions in accordance with this approval.</i>	Yes	Yes	No audit of ER's functions have been commissioned by DPIE to date.	
<b>CONSTRUCTION ANCILLARY FACILITIES</b>					
A20	<b>Construction Ancillary Facilities</b> Construction ancillary facilities that are not identified by description and location in the documents listed in Condition A1 can only be established and used in each case if:  (a) they are located within or immediately adjacent to the Construction Boundary; and (b) they are not located next to a sensitive land use (including where an access road is between the facility and the land use), unless the landowner and occupier have given written acceptance to the carrying out of the relevant facility in the proposed location; and (c) they have no impacts on heritage items (including areas of archaeological sensitivity), threatened species, populations or ecological communities beyond the impacts approved under the terms of this approval; and (d) the establishment and use of the facility can be carried out and managed within the outcomes set out in the terms of this approval, including in relation to environmental, social and economic impacts.	Yes	Yes	No additional ancillary facilities that are not identified in the documents listed in Condition A1 have been established to date. This was confirmed during site inspection carried out on 20 January 2022.	Site inspection carried out on 20 January 2022.
A21	<b>Use of Construction Ancillary Facilities</b> The use of a major construction ancillary facility for construction must not commence until the CEMP required by Condition C1, relevant CEMP and Sub-Plans required by Condition C4 and relevant Construction Monitoring Programs required by Condition C8 have been approved by the ER.	Yes	Yes	The use of the main ancillary facility for construction commenced on 1 November 2021 after the CEMP, one month after the CEMP and Sub-Plans required by Condition C4 and relevant Construction Monitoring Programs required by Condition C8 were approved by the ER on 1 October 2021.	ER letter of approval of the CEMP and Sub-Plans dated 1 October 2021.
A22	<b>Minor Construction Ancillary Facilities</b> Lunch sheds, office sheds, portable toilet facilities, material storage, parking and the like, can be established and used where they satisfy the following criteria:  (a) are located within the Construction Boundary; and (b) have been assessed by the ER to have - (i) minor amenity impacts to surrounding residences and businesses, after consideration of matters such as compliance with the Interim Construction Noise Guideline (DECC, 2009) (ICNG), traffic and access impacts, dust and odour impacts, and visual (including light spill) impacts, and (ii) minor environmental impact with respect to waste management and flooding, and (iii) no impacts on biodiversity, soil and water, and heritage items beyond those already approved under other terms of this approval.	Yes	Yes	This requirement has been addressed in Section 2.5.3 of the CEMP.  No minor construction ancillary facilities have been established to date.	Site inspection carried out on 20 January 2022.
<b>COMPLIANCE MONITORING AND REPORTING PROGRAM</b>					
A23	No later than four (4) weeks before the commencement of construction, a Compliance Monitoring and Reporting Program prepared in accordance with the Compliance Reporting Post Approval Requirements (DPIE, May 2020) and must be endorsed by the ER and submitted to DPIE for information.	Yes	Yes	As per Condition A3 above. Compliance monitoring and reporting is addressed in section 8.3 and Chapter 9 of the CEMP.	As per Condition A3 above. Section 8.3 and Chapter 9 of the CEMP.
A24	Compliance reporting must be carried out in accordance with the Compliance Reporting Post Approval Requirements (DPIE, May 2020) and the relevant approved Compliance Monitoring and Reporting Program. DPIE must be notified of the commencement dates of construction and operation of the CSSI in pre-construction and pre-operational compliance reports.	Yes	Yes	As per Condition A3 above. Compliance monitoring and reporting is addressed in section 8.3 and Chapter 9 of the CEMP.	As per Condition A3 above. Section 8.3 and Chapter 9 of the CEMP.
A25	The Construction Compliance Report must provide details of any review of, and amendments made to, the CEMP (which must be approved by the ER), resulting from construction carried out during the reporting period.	Yes	Yes	As per Condition A3 above. Compliance monitoring and reporting is addressed in section 8.3 and Chapter 9 of the CEMP.	As per Condition A3 above. Section 8.3 and Chapter 9 of the CEMP.
A26	The Compliance Monitoring and Reporting Program and Compliance Reports must be made publicly available.	Yes	Yes	Monthly Compliance Monitoring Reports are prepared and made available on ARTC's website.	Monthly Compliance Monitoring Report for November 2021.
A27	The Compliance Monitoring and Reporting Program in the form required under Condition A23 of this approval must be implemented for the duration of construction and one (1) year following commencement of operation.	Yes	Yes	As per Condition A3 above. Compliance monitoring and reporting is addressed in section 8.3 and Chapter 9 of the CEMP.	As per Condition A3 above. Section 8.3 and Chapter 9 of the CEMP.
<b>AUDITING</b>					
A28	No later than four (4) weeks before the date notified for the commencement of construction (in the pre-construction compliance report), an Independent Audit Program prepared in accordance with the Independent Audit Post Approval Requirements (DPIE, May 2020) (the Independent Audit PAR) must be submitted to the Planning Secretary for information.	Yes	Yes	A clarification letter dated 1 September 2021 provided by DPIE stated that independent auditing will be carried out in direct compliance with the Independent Audit Post Approval Requirements (DPIE, May 2020).	DPIE letter dated 1 September 2021.
A29	Independent Audits of the CSSI must be carried out in accordance with:  (a) the Independent Audit Program submitted to DPIE under Condition A28 of this approval; and (b) the requirements for an Independent Audit Methodology and Independent Audit Report in the Independent Audit PAR.	Yes	Yes	As per Condition A28 above.	As per Condition A28 above.
A30	In accordance with the specific requirements in the Independent Audit PAR, the Proponent must:  (a) review and respond to each Independent Audit Report prepared under Condition A29 of this approval; and (b) make each Independent Audit Report and response to it publicly available and notify DPIE in writing when this has been done.  <i>Note: Consistent with the requirements of the Independent Audit PAR, the Planning Secretary may approve a request for ongoing operational audits to be ceased where it has been demonstrated to the Planning Secretary's satisfaction that an audit has demonstrated operational compliance.</i>	Yes	Yes	As per Condition A28 above.	As per Condition A28 above.
<b>INCIDENT NOTIFICATION AND REPORTING</b>					
A31	During construction, DPIE must be notified in writing immediately after the Proponent becomes aware of an incident. The notification must identify the CSSI (including the application number and the name of the CSSI if it has one), and set out the time, date, location and nature of the incident. A description of whether the incident was a result of any actual or potential noncompliance with this approval should be provided within one week of the notification.  The requirement to notify DPIE under this condition excludes incidents which are required to be notified to the Office of the National Rail Safety Regulator.	Yes	Yes	No notifiable pollution incidents occurred on the project during this audit period.	No environmental incidents recorded in FH's CMAs system
A32	Subsequent notification must be given and reports submitted in accordance with the requirements set out in Appendix A.	Yes	Yes	As per Condition A31 above.	As per Condition A31 above.

Condition	Condition/Commitment/Mitigation Measure	Applicable	Compliant	Details of Compliance	Evidence
<b>INFORMATION, CONSULTATION AND INVOLVEMENT</b>					
B1	<p>Communication Strategy</p> <p>A Communication Strategy must be prepared and implemented which provides mechanisms to facilitate communication about construction and operation (within the first 12 months of operation) with:</p> <p>(a) the community (including affected landowners and businesses, and others directly impacted by the CSSI), and</p> <p>(b) the relevant councils and government agencies.</p>	Yes	Yes	<p>The Communication Strategy , Rev 4, 30 September 2021, was approved by the Planning Secretary on 25 October 2021.</p> <p>An audit interview with FH's Communication &amp; Stakeholder Engagement Manager held on 2 Feb 2022 and review of the documents provided by FH and titled "Communication Deliverables" confirmed implementation of the Strategy.</p>	<p>Communication Strategy , Rev 4, 30 September 2021</p> <p>Communication Deliverables, FH, Dec 2021.</p>
B2	<p>The Communication Strategy must:</p> <p>(a) identify people, organisations, councils and agencies to be consulted during the design and the carrying out of work;</p> <p>(b) identify community demographics and approaches to address the needs of LOTE and CALD and vulnerable communities;</p> <p>(c) set out procedures and mechanisms for the regular distribution of accessible information, including to LOTE and CALD and vulnerable communities about or relevant to the CSSI;</p> <p>(d) identify opportunities for education within the community about construction sites;</p> <p>(e) provide for the formation of issue or location-based community forums that focus on key environmental management issues of concern to the relevant communities;</p> <p>(f) identify mechanism to communicate community enquiries and complaints contact details during operation;</p> <p>(g) set out procedures and mechanisms;</p> <p>(i) through which the community can discuss or provide feedback to the Proponent</p> <p>(ii) through which the Proponent will respond to enquiries or feedback from the community;</p> <p>and</p> <p>(iii) to resolve any issues and mediate any disputes that may arise in relation to Construction of the CSSI, including disputes regarding rectification or compensation.</p>	Yes	Yes	<p>DPIE's letter of approval dated 25 October 2021 confirmed the Communication Strategy complied with this condition.</p>	<p>DPIE's letter of approval of the Communication Strategy dated 25 October 2021.</p>
B3	<p>The Communication Strategy must be submitted to the Planning Secretary for information no later than one (1) month before commencement of construction.</p>	Yes	Yes	<p>The Communication Strategy was submitted to the Planning Secretary on 1 October 2021, 1 month before commencement of construction on 1 November 2021.</p>	<p>ARTC's letter to the Planning Secretary submitting the Communication Strategy for information dated 1 October 2021.</p>
B4	<p>The Communication Strategy must be made publicly available and implemented for the duration of work and for a minimum of 12 months following the completion of construction.</p>	Yes	Yes	<p>The Communication Strategy Rev 4 is publicly available on ARTC's website <a href="https://proj.artc.com.au/cabramatta-loop/document-library">https://proj.artc.com.au/cabramatta-loop/document-library</a>.</p>	<p><a href="https://proj.artc.com.au/cabramatta-loop/document-library">https://proj.artc.com.au/cabramatta-loop/document-library</a></p>
<b>COMPLAINTS MANAGEMENT SYSTEM</b>					
B5	<p>A Complaints Management System must be developed and implemented before the commencement of any work and maintained for the duration of construction and for a minimum of 12 months following completion of construction of the CSSI.</p>	Yes	Yes	<p>A Complaints Management System was developed in conjunction with Communication Strategy and was approved by the ER on 1 October 2021.</p>	<p>ER's letter of approval of the Complaints Management System dated 1 October 2021.</p>
B6	<p>The following information must be available to facilitate community enquiries and manage complaints one (1) month before the commencement of work and for 12 months following the completion of construction:</p> <p>(a) a 24- hour telephone number for the registration of complaints and enquiries about the CSSI;</p> <p>(b) a postal address to which written complaints and enquires may be sent;</p> <p>(c) an email address to which electronic complaints and enquiries may be transmitted;</p> <p>(d) a mechanism for CALD community members to make enquiries in LOTE commonly used in the community; and</p> <p>(e) a mediation system for complaints unable to be resolved.</p> <p>This information must be made publicly available.</p>	Yes	Yes	<p>This information is provided in Chapter 2 of the Complaints Management System which is available on ARTC's project website. Additionally regular Cabramatta Loop Community Updates that also include this information are issued on ARTC project website.</p>	<p>Complaints Management System Rev3, 30 September 2021</p> <p>Cabramatta Loop Community Updates, Aug 21, Nov 21, Jan 22.</p>
B7	<p>The telephone number, postal address and email address required under Condition B6 of this approval must be made available on site hoarding at each construction site before the commencement of construction. This information must also be provided on the website required under Condition B10 of this approval.</p>	Yes	Yes	<p>Site inspection carried out on 20 January 2022 confirm that the telephone number, postal address and email address required under Condition B6 were provided on site hording at the main site compound. This information is also available on ARTC's website.</p>	<p>Refer site inspection photos and ARTC website.</p>
B8	<p>A Complaints Register must be maintained recording information on all complaints received about the CSSI during the carrying out of any work and for a minimum of 12 months following the completion of construction. The Complaints Register must record the:</p> <p>(a) number of complaints received;</p> <p>(b) number of people potentially affected by the activities or impacts referenced by a complainant; and</p> <p>(c) nature, location and time of the complaint and means by which the complaint was addressed and whether resolution was reached, with or without mediation.</p>	Yes	Yes	<p>A copy of the Complaints Register is included in Appendix B of the Complaints Management System. A copy of the Register was sighted during the audit interview with Melinda Loew, Communication &amp; Stakeholder Engagement Manager. No complaints have been received by the project to date.</p>	<p>Complaints Register, Appendix B of the Complaints Management System.</p>
B9	<p>The Complaints Register must be provided to the Planning Secretary upon request, within the timeframe stated in the request.</p>	Yes	Yes	<p>No requests to provide the Complaints Register were made by the Planning Secretary to date.</p>	<p>Interview with FH's Communication &amp; Stakeholder Engagement Manager.</p>
<b>PROVISION OF ELECTRONIC INFORMATION</b>					
B10	<p>A website providing information in relation to the CSSI must be established before commencement of works and maintained for the duration of construction, and for a minimum of 12 months following the completion of construction. The following up-to-date information (excluding confidential commercial information) must be published before the relevant work commences and be maintained on the website or dedicated pages:</p> <p>(a) the current implementation status of the CSSI;</p> <p>(b) a copy of the documents listed in Condition A1 of this approval, and any documentation relating to any modifications made to the CSSI or the terms of this approval;</p> <p>(c) a copy of this approval in its original form, a current consolidated copy of this approval (that is, including any approved modifications to its terms), and copies of any approval granted by the Minister to a modification of the terms of this approval;</p> <p>(d) a copy of each statutory approval, licence or permit required and obtained in relation to the CSSI;</p> <p>(e) where a condition(s) of this approval requires a document(s) to be prepared before work, construction or operational activity commences, a current copy of the relevant document(s) must be published on the website before the work, construction or operational activity is undertaken; and</p> <p>(f) a copy of each document required to be made publicly available under this approval must be published within 7 days of the finalisation or approval of the relevant document, unless an alternate timeframe is prescribed by another condition of this approval.</p>	Yes	Yes	<p>ARTC have established and maintain a dedicated project website that addresses the requirement of this condition.</p>	<p><a href="https://proj.artc.com.au/cabramatta-loop/document-library/">https://proj.artc.com.au/cabramatta-loop/document-library/</a></p>

Condition	Condition/Commitment/Mitigation Measure	Applicable	Compliant	Details of Compliance	Evidence								
<b>CONSTRUCTION ENVIRONMENTAL MANAGEMENT PLAN</b>													
C1	A Construction Environmental Management Plan (CEMP) must be prepared by having regard to the Environmental Management Plan Guideline – Guideline for Infrastructure Projects (DPIE, April 2020). The plan must detail how the performance outcomes, commitments and mitigation measures specified in the documents listed in Condition A1 will be implemented and achieved during construction.	Yes	Yes	Section 1.2 of the CEMP fully addressed this condition. The CEMP was approved by the ER on 1 October 2021.	Section 1.2 of the CEMP								
C2	The CEMP must provide: (a) a description of activities to be undertaken during construction (including the scheduling of construction); (b) details of environmental policies, guidelines and principles to be followed in the construction of the CSSI; (c) a program for ongoing analysis of the key environmental risks arising from the activities described in subsection (a) of this condition, including an initial risk assessment undertaken before the commencement of construction; (d) details of how the activities described in subsection (a) of this condition will be carried out to: (i) meet the performance outcomes stated in the documents listed in Condition A1; and (ii) manage the risks identified in the risk analysis undertaken in subsection (c) of this condition; (e) an inspection program detailing the activities to be inspected and frequency of inspections; (f) a protocol for managing and reporting any: (i) incidents; and (ii) non-compliances with this approval or statutory requirements; (g) procedures for rectifying any non-compliance with this approval identified during compliance auditing, incident management or at any time during construction; (h) a list of all the CEMP Sub-Plans required in respect of construction, as set out in Condition C4. Where staged construction of the CSSI is proposed, the CEMP must also identify which CEMP Sub-Plan applies to each of the proposed stages of construction; (i) a description of the roles and environmental responsibilities for relevant roles and their relationship with the ER; (j) for training and induction for employees, including contractors and sub-contractors, in relation to environmental and compliance obligations under the terms of this approval; (k) for periodic review and update of the CEMP and all associated plans and programs; and (l) the Unexpected Heritage Finds and Human Remains Procedure required under Condition E5.	Yes	Yes	ER letter dated 1 October 2021 confirms that the CEMP complies with this condition.	ER letter of approval of the CEMP dated 1 October 2021.								
C3	The CEMP must be prepared and submitted to the ER for approval no later than one (1) month before the commencement of construction, or where construction is staged, no later than one (1) month before the commencement of that stage.	Yes	Yes	The CEMP was prepared and submitted to the ER one month prior to commencement of construction on 1 November 2021 as evidenced by ER's letter of approval of the CEMP dated 1 October 2021.	ER's letter of approval of the CEMP dated 1 October 2021.								
C4	CEMP Sub-Plans must be prepared in consultation with the relevant government agency(ies) and council(s) identified for each CEMP Sub-Plan in Table 3. <b>Table 3: CEMP Sub-Plan and relevant public authorities</b> <table border="1"> <thead> <tr> <th>Required CEMP Sub-Plan</th> <th>Relevant government agency(ies) and council(s) to be consulted for each CEMP Sub-Plan</th> </tr> </thead> <tbody> <tr> <td>(a) Traffic and Transport</td> <td>TNSW and relevant council(s)</td> </tr> <tr> <td>(b) Noise and Vibration</td> <td>Relevant council(s)</td> </tr> <tr> <td>(c) Soil and Water</td> <td>DPIE Water Group, Sydney Water and relevant council(s)</td> </tr> </tbody> </table> Note: This condition does not preclude the preparation of subplans the proponent has committed to preparing in documents referenced in Condition A1.	Required CEMP Sub-Plan	Relevant government agency(ies) and council(s) to be consulted for each CEMP Sub-Plan	(a) Traffic and Transport	TNSW and relevant council(s)	(b) Noise and Vibration	Relevant council(s)	(c) Soil and Water	DPIE Water Group, Sydney Water and relevant council(s)	Yes	Yes	Evidence of consultation is included in the following Sub-Plans: - Traffic and Transport Management Plan (TTMP) - section 1.4 - Noise and Vibration Management Plan (NVMP) including noise and vibration monitoring program - section 1.4 - Soil and Water Management Plan (SWMP) including water quality - section 1.4 ER letter of approval of the CEMP and sub-plans confirming the plans meet consultation requirements as per Condition C4.	TTMP Section 1.4 NVMP Section 1.4 SWMP Section 1.4. ER's letter of approval of the CEMP dated 1 October 2021.
Required CEMP Sub-Plan	Relevant government agency(ies) and council(s) to be consulted for each CEMP Sub-Plan												
(a) Traffic and Transport	TNSW and relevant council(s)												
(b) Noise and Vibration	Relevant council(s)												
(c) Soil and Water	DPIE Water Group, Sydney Water and relevant council(s)												
C5	The CEMP Sub-Plans must state how: (a) the environmental performance outcomes identified in the documents listed in Condition A1 as modified by these conditions will be achieved; (b) the mitigation measures identified in the documents listed in Condition A1 as modified by these conditions will be implemented; (c) the relevant terms of this approval will be complied with; and (d) issues requiring management during construction (including cumulative impacts), as identified through ongoing environmental risk analysis, will be managed.	Yes	Yes	This condition is addressed in the CEMP Sub-Plans as follows: TTMP - Section 2.2, Chapters 6,7,8 and 9. NVMP - Section 2.3, Chapters 6 and 8 SWMP - Section 2.3 and Chapters 5 and 6									
C6	Details of all information requested by an agency to be included in a CEMP Sub-plan as a result of consultation, including copies of all correspondence from those agencies, must be provided with the relevant CEMP Sub-Plan.	Yes	Yes	As per Condition C4 above.	As per Condition C4 above.								
C7	Construction must not commence until the CEMP and all CEMP Sub-Plans have been approved by the ER and must be implemented for the duration of construction. Where construction of the CSSI is staged, construction of a stage must not commence until the CEMP and sub-plans for that stage have been approved by the ER.	Yes	Yes	Construction commenced on 1 November 2021, one month after ER's approval of the CEMP and Sub-Plans. CEMP and Sub-Plans are being implemented as evidenced by site inspection and interviews carried out as part of this audit. Additionally, implementation of the CEMP and Sub-plans is confirmed through ER's site inspection reports and Monthly Construction Monitoring Reports.	ER site inspection reports for Nov 21 Monthly Construction Monitoring Report Nov 2021								
<b>CONSTRUCTION MONITORING PROGRAMS</b>													
C8	The Construction Monitoring Programs set out in Table 4 must be prepared and implemented to enable comparison of the actual construction performance against the predicted performance. The Construction Monitoring Programs must be prepared in consultation with the relevant government agencies as identified for each Construction Monitoring Program. <b>Table 4: Construction Monitoring and relevant public authorities</b> <table border="1"> <thead> <tr> <th>Required Construction Monitoring Programs</th> <th>Relevant government agencies to be consulted for each Construction Monitoring Program</th> </tr> </thead> <tbody> <tr> <td>(a) Noise and Vibration</td> <td>EPA</td> </tr> <tr> <td>(b) Water Quality</td> <td>DPIE Water Group</td> </tr> </tbody> </table>	Required Construction Monitoring Programs	Relevant government agencies to be consulted for each Construction Monitoring Program	(a) Noise and Vibration	EPA	(b) Water Quality	DPIE Water Group	Yes	Yes	Construction monitoring programs have been prepared and included in:  NVMP - Section 9.4.1, 9.4.2, 9.4.3 and Table 29. SWMP - Appendix B. AQMP - Section 7.4 and Table 8.  Implementation of construction monitoring programs is confirmed through: - monthly construction monitoring reports - FH weekly site inspections - ER site inspection reports.	NVMP - Section 9.4.1, 9.4.2, 9.4.3 and Table 29. SWMP - Appendix B. AQMP - Section 7.4 and Table 8 ER site inspection reports for Nov 21 Monthly Construction Monitoring Report Nov 2021 FH weekly site inspections for Nov and Dec 2021.		
Required Construction Monitoring Programs	Relevant government agencies to be consulted for each Construction Monitoring Program												
(a) Noise and Vibration	EPA												
(b) Water Quality	DPIE Water Group												
C9	Each Construction Monitoring Program must provide: (a) details of baseline data available; (b) details of baseline data to be obtained and when; (c) details of all monitoring of the project to be undertaken; (d) the parameters of the project to be monitored; (e) the frequency of monitoring to be undertaken; (f) the location of monitoring; (g) procedure for the timing and frequency reporting of monitoring and analysis against relevant criteria, including details of the timing and frequency for reporting results to the ER, the Planning Secretary and relevant government agencies; (h) details of the methods that will be used to analyse the monitoring data; (i) procedures to identify and implement additional mitigation measures where results of monitoring identify unexpected impact; and (j) any consultation to be undertaken in relation to the monitoring programs.	Yes	Yes	Construction monitoring programs are included in the CEMP and Sub-Plans. ER letter of approval of the CEMP and Sub-Plans dated 1 October 2021 confirmed compliance with this condition.	ER letter of approval of the CEMP dated 1 October 2021.								
C10	The Construction Monitoring Programs must be submitted to the ER for approval at least one (1) month before the commencement of construction.	Yes	Yes	The Construction Monitoring Programs were submitted to the ER for approval as part of the CEMP and Sub-Plans and were approved on 1 October 2021, one month prior to commencement of construction on 1 November 2021.	ER letter of approval of the CEMP dated 1 October 2021.								
C11	Construction, which is required to be monitored under the Construction Monitoring Programs, must not commence until the Construction Monitoring Programs have been approved by the ER, and all relevant baseline data for the specific construction activity has been collected.	Yes	Yes	As per Condition C10 above.	As per Condition C10 above.								
C12	The Construction Monitoring Programs, as approved by the ER must be implemented for the duration of construction and for any longer period set out in the monitoring program or specific by the Planning Secretary, whichever is the greater.	Yes	Yes	The Construction Monitoring Programs will be implemented for the duration of construction as stated in the CEMP and Sub-Plans.	NVMP - Section 9.4.1, 9.4.2, 9.4.3 and Table 29. SWMP - Appendix B.								
C13	The results of the Construction Monitoring Programs must be made publicly available in the form of a Construction Monitoring Report at the frequency identified in the relevant Construction Monitoring Program.	Yes	Yes	Construction Monitoring Reports are available on ARTC's project website <a href="https://proj.artc.com.au/cabramatta-loop/document-library/">https://proj.artc.com.au/cabramatta-loop/document-library/</a>	<a href="https://proj.artc.com.au/cabramatta-loop/document-library/">https://proj.artc.com.au/cabramatta-loop/document-library/</a>								
Note: Where a relevant CEMP Sub-Plan exists, the relevant Construction Monitoring Program may be incorporated into that CEMP Sub-Plan.													

Condition	Condition/Commitment/Mitigation Measure	Applicable	Compliant	Details of Compliance	Evidence
	<b>OPERATIONAL ENVIRONMENTAL MANAGEMENT</b>				
D1	Measures to manage the operation and maintenance of the CSSI must be addressed in the Proponent's Environmental Management System.	No	N/A		N/A

Condition	Condition/Commitment/Mitigation Measure	Applicable	Compliant	Details of Compliance	Evidence
<b>AIR QUALITY</b>					
E1	In addition to the performance outcomes, commitments and mitigation measures specified in the documents listed in Condition A1, all reasonably practicable measures must be implemented to minimise the emission of dust, odours and other air pollutants during the construction and operation of the CSSI.	Yes	Yes	Mitigation measures to minimise dust, odours and other air pollutants are included in Chapter 6, mitigation measures AQMM1 - AQMM-15 of the Air Quality Management Sub-Plan (AQMP). A requirement to comply with FH's management plans, including AQMP, is included in all contracts. Weekly site inspections are carried out by FH Environmental Manager. Implementation of the air quality mitigation measures is checked weekly by FH Environmental Manager and recorded in the Environmental Inspection Checklist. Dust, odour and air pollution measures are discussed at the pre-starts and toolbox talks, particularly during hot, dry weather conditions. Dust management is also included in FH's Environmental Performance Standards ('Green Rules'). Measures to minimise the emissions of dust, odour and other air pollutants during the construction are included in project's environmental induction training. Visual observations during site inspection carried out on 20 January 2021 confirmed implementation of the mitigation measures as per AQMP.	Records of weekly site inspections for Nov, Dec 2021 and Jan 22 Project environmental induction training Rev2 Visual observations during site inspection carried out on 20 January 2021. FH's Environmental Performance Standards ('Green Rules').
<b>FLOODING</b>					
E2	Construction compounds, construction ancillary facilities and work sites must be located and operated so as to not worsen the existing flooding characteristics in the catchment.	Yes	Yes	The main site compound has been established at Jacquie Osmond Reserve which was identified and assessed in the EIS as Location C3. The main compound was built with consideration of overland flow and to minimise flood risk. Additionally the mitigation measures to minimise the overall flood risks associated with construction compounds and ancillary facilities are addressed in Chapter 6 of the Flood Management Sub-Plan (FMP). Flood modelling was carried out to inform the optimal layout of the main site compound in accordance with the mitigation measure ID FMM2 of the FMP. FH's Design Manager confirmed that the flood model was reviewed and compliance confirmed in July 2021 and that the compound was built above the 20 year flood RLs.	Email from KBR to FH dated 2 July 2021 confirming design's compliance with this condition.
E3	The CSSI must be designed and constructed to not worsen flood characteristics within the vicinity of the CSSI. Not worsen existing flooding characteristics in the vicinity of the CSSI means the following: (a) a maximum increase in the duration of inundation of one hour for all flood events up to and including a one per cent AEP event; and (b) an increase in flood levels of no more than 30 mm within a property boundary where floor levels of residential accommodation are not currently exceeded in a one per cent AEP event, unless agreed by the Planning Secretary. In seeking the Planning Secretary's agreement, the Proponent must demonstrate that project design changes to meet the 30 mm criteria are not practical. Notwithstanding, an increase in flood levels of more than 50 mm within a property boundary is not permitted; and (c) no flooding of residential accommodation floor levels in a one per cent AEP event, unless agreed by the Planning Secretary; and (d) no change to flood hazard rating as defined in Appendix L of the NSW Government's Floodplain Development Manual (2005).	Yes	N/A	Project detailed design is still in progress and is scheduled to be completed in March 2022. therefore, this condition, although applicable, is not triggered for this audit.	N/A
E4	Flood information developed during detailed design such as flood reports, models and geographic information system outputs, and work as executed information from a registered surveyor certifying finished ground levels, the dimensions and finished levels of all structures constructed as part of the CSSI within the flood prone land, must be provided to the relevant council(s). The relevant council(s) must be notified in writing what information is available no later than one (1) month following the completion of construction. Information requested by the relevant council(s) must be provided no later than six (6) months following the completion of construction or within another timeframe agreed with the relevant council(s).	Yes	N/A	As per Condition E3 above.	N/A
<b>HERITAGE (ABORIGINAL AND BUILT)</b>					
E5	An Unexpected Heritage Finds and Human Remains Procedure must be prepared to manage unexpected heritage finds and human remains in accordance with guidelines and standards published by the Heritage Council of NSW or DPIE EES Group. This Procedure must be included in the CEMP required by Condition C2. The Unexpected Heritage Finds and Human Remains Procedure be implemented for the duration of construction.  <i>Note: Human remains that are found unexpectedly during the carrying out of works may be under the jurisdiction of the NSW State Coroner and must be reported to the NSW Police immediately.</i>	Yes	Yes	Unexpected Heritage Finds and Human Remains Procedure is included in the approved Heritage Management Sub-plan (HMP). Unexpected Heritage Finds included in FH environmental induction training and toolbox talks. It is also included in FH's Environmental Performance Standards ('Green Rules').	Appendix A of the HMP - Unexpected Heritage Finds and Human Remains Procedure. FH environmental induction training Rev2 FH's Environmental Performance Standards ('Green Rules'). Toolbox talk dated 24 November 2021.
E6	The Proponent must not harm, modify or otherwise impact Aboriginal objects associated with the CSSI except as authorised by this approval.	Yes		No incidents of unauthorised harm or impact on Aboriginal objects were recorded during the audit period.	FH incident register (CAMs)
E7	Before the commencement of work within areas of moderate or high archaeological potential as identified in the documents in Condition A1, the Proponent shall: (a) undertake archaeological investigation of this site using a methodology prepared in consultation with the Aboriginal stakeholders; and (b) report on the results of the archaeological investigation, including recommendations (such as for further archaeological work), and must include, but not necessarily be limited to: (i) consideration of measures to avoid or minimise disturbance to Aboriginal objects where objects of moderate to high significance are found to be present; (ii) where impacts cannot be avoided, recommendations for any further investigations or salvage under Condition E8 below; and (iii) management and mitigation measures to minimise additional impacts due to preconstruction and construction activities.	Yes	Yes	ARTC has undertaken the archaeological investigation and reported on the results in accordance with this CoA E7 as documented in the Aboriginal Cultural Heritage Assessment report dated 22 September 2020. The management and mitigation measures to minimise additional impacts during construction have been included in the HMP.	Aboriginal Cultural Heritage Assessment report dated 22 September 2020.
E8	Before the commencement of work where Aboriginal objects of moderate to high significance are found to be present and cannot be avoided (refer to Condition E7(ii) above), the Proponent must: (a) develop a detailed salvage strategy, prepared in consultation with the Aboriginal stakeholders; and (b) undertake any further archaeological excavation works recommended by the results of the Aboriginal archaeological salvage strategy. Within twelve (12) months of completing the above work, unless otherwise agreed by the Planning Secretary, the Proponent must submit a report containing the findings of the excavations, including artefact analysis and Aboriginal Site Impacts Recording Forms (ASIR), and the identification of final storage location for all Aboriginal objects recovered (testing and salvage), prepared in consultation with the Aboriginal stakeholders and the DPIE EES Group (Aboriginal heritage). A copy of this report shall be provided to the relevant Local Aboriginal Land Council and council.	Yes	N/A	Condition not triggered as no objects of moderate to high significance were found during the archaeological investigation.	N/A
E9	Where previously unidentified Aboriginal objects are discovered during construction of the CSSI, all work must stop in the affected area and a qualified and experienced Aboriginal heritage expert contacted to provide specialist heritage advice before work recommences. The measures to consider and manage this process must be specified in the Unexpected Heritage Finds and Human Remains Procedure required by Condition E5 and, where relevant, include registration in the AHIMS.	Yes	Yes	As per Condition E5 above. No previously unidentified Aboriginal objects were discovered during the audit period.	As per Condition E5 above.
<b>NOISE AND VIBRATION</b>					
E10	<b>Work Hours</b> Work must only be undertaken during the following hours: (a) 7:00am to 6:00pm Mondays to Fridays, inclusive; (b) 8:00am to 1:00pm Saturdays; and (c) at no time on Sundays or public holidays.	Yes	Yes	Construction hours are: - addressed in Section 7.1 of the NVMP. - included in project environmental induction - included in FH's Environmental Performance Standards ('Green Rules'). - regularly mentioned during pre-starts.	Section 7.1 of the NVMP. FH environmental induction training Rev2 FH's Environmental Performance Standards ('Green Rules').
E11	<b>Variation to Works Hours</b> Notwithstanding Conditions E10 and E15, work may be undertaken outside the hours specified in the following circumstances: (a) for the delivery of materials required by the NSW Police Force or other appropriate authority for safety reasons; or (b) where it is required in an emergency to avoid injury or the loss of life, to avoid damage or loss of property or to prevent environmental harm; or (c) where different construction hours are permitted or required under an EPL in force in respect of the CSSI; or (d) work approved under an Out-of-Hours Work Protocol for work not subject to an EPL as required by Condition E16; or (e) construction that causes LAeq(15 minute) noise levels: (i) no more than 5 dB(A) above the rating background level at any residence in accordance with the Interim Construction Noise Guideline (DECC, 2009), and (ii) no more than the 'Noise affected' noise management levels specified in Table 3 of the Interim Construction Noise Guideline (DECC, 2009) at other sensitive land uses, and (iii) continuous or impulsive vibration values, measured at the most affected residence are no more than the maximum values for human exposure to vibration, specified in Table 2.2 of Assessing Vibration: a technical guideline (DEC, 2006), and (iv) intermittent vibration values measured at the most affected residence are no more than the maximum values for human exposure to vibration, specified in Table 2.4 of Assessing Vibration: a technical guideline (DEC, 2006); or (f) negotiated agreements with directly affected residents.	Yes	Yes	All OOHWs carried out during the audit period complied with the approved OOHW Protocol provided in Appendix C of the NVMP. Compliance confirmed through noise monitoring data included in the Construction Monitoring Report Nov 2021. No incidents or community complaints in relation to the works undertaken outside of standard construction hours were recorded during the audit period.	Noise monitoring data Nov 2021 FH Complaints Register. FH Incident Register (CAMs).
E12	On becoming aware of the need for emergency work in accordance with Condition E11(b), the Proponent must notify the ER of the need for that work. The Proponent must use best endeavours to notify all noise and/or vibration affected sensitive land uses of the likely impact and duration of those works.	Yes	Yes	No emergency works were carried out during the audit period.	Interviews with FH's Environmental Manager and ER.
E13	<b>Out-of-Hours Work Scheduling and Respite</b> Except as permitted by an EPL, out-of-hours work that is regulated through the Out-of-Hours Work Protocol as per Condition E16 includes (but is not limited to): (a) work which could result in a high risk to construction personnel or public safety, based on a risk assessment carried out in accordance with AS/NZS ISO 31000:2009 'Risk Management – Principles and Guidelines'; or (b) where the relevant utility service operator has advised the Proponent in writing that carrying out the work and activities could result in a high risk to the operation and integrity of the utility network; or (c) work undertaken in a Rail Possession for operational or safety reasons; and (d) where the relevant road authority has advised the Proponent in writing that carrying out the works and activities could result in a risk to road network operational performance or safety.  <i>Note: Other out-of-hours works can be undertaken with the approval of an EPL or through the project's Out-of-Hours Work Protocol for works not subject to an EPL.</i>	Yes	Yes	OOHW Protocol is included in Appendix C of the NVMP.  No incidents or community complaints in relation to the OOHWs were recorded during the audit period.	Appendix C Out-of-Hours Works (OOHW) Protocol and section 7.1.3 of the NVMP.
E14	In order to undertake out-of-hours work, the Proponent must identify appropriate respite for out-of-hours work in consultation with the community at each affected location on a regular basis. This consultation must include (but not be limited to) providing the community with: (a) a schedule of likely out-of-hours work for a period no less than three (3) months; (b) the potential works, location and duration; (c) the noise characteristics and likely noise levels of the works; and (d) likely mitigation and management measures. The outcomes of the community consultation, the identified respite periods and the scheduling of the likely out-of-hour works must be provided to the EPA and the Planning Secretary.	Yes	Yes	Consultation is carried out through community notifications that include: - a schedule of likely out-of-hours work - the type of works, location and duration; - the likely noise levels of the works; and - what will be done to mitigate the impact including the respite periods. Each community notification invites community feedback and provides a 24-hour Enviroline, email and postal contact details and included information about translation and interpretation services. The outcomes of the community consultation, the identified respite periods and the scheduling of the OOHW are provided to the EPA and the Planning Secretary.	OOHW Community Notifications Nov 2021 and Jan 2022.

E15	<b>Highly Noise Intensive Works</b> Except as permitted by an EPL, highly noise intensive work must only be undertaken: (a) between the hours of 8:00 am to 6:00 pm Monday to Friday; (b) between the hours of 8:00 am to 1:00 pm Saturday; and (c) in continuous blocks not exceeding three (3) hours, with a minimum respite from that work of not less than one (1) hour between each block where the works are likely to impact the same noise sensitive receivers. For the purposes of this condition, 'continuous' includes any period during which there is less than of one (1) hour respite between ceasing and recommencing any of the work that is the subject of this condition.	Yes	Yes	Highly noise intensive works are addressed in Section 7.1.2 of the NVMP.  No highly noise intrusive works have been carried out on the project during the audit period.	Section 7.1.2 of the NVMP. Interview with FH's Environmental Manager
E16	<b>Out-of-Hours Work Protocol – Work not subject to an EPL</b> An Out-of-Hours Work Protocol must be prepared to identify a process for the consideration, management and approval of work which is outside the hours defined in Condition E10, and that is not subject to an EPL. The Protocol must be approved by the Planning Secretary before commencement of the relevant out-of-hours work. The Protocol must be prepared in consultation with the EPA. The Protocol must: (a) provide a process for the consideration of out-of-hours work against the relevant noise and vibration criteria, including the determination of low and high-risk activities; (b) provide a process for the identification and implementation of mitigation measures for residual impacts, including respite periods in consultation with the community at each affected location, consistent with the requirements of Condition E14; (c) identify procedures to facilitate the coordination of out-of-hours work approved by an EPL to ensure appropriate respite is provided; (d) identify an approval process that considers the risk of activities, proposed mitigation, management, and coordination, including where: (i) low risk activities can be approved by the ER, and (ii) high risk activities that are approved by the Planning Secretary; and (e) identify DPIE, EPA and community notification arrangements for approved out-of-hours works, which maybe detailed in the Communication Strategy.	Yes	Yes	The OOHW Protocol was submitted by ARTC to the Planning Secretary on 7 October 2021. The letter notes that consultation with the NSW Environment Protection Authority (NSW EPA) was undertaken in preparation of the OOHW Protocol per the requirements of Condition E16, however the NSW EPA declined the invitation to review and provide comments.	ARTC letter to DPIE dated 7 October 2021.
E17	Noise generating work in the vicinity of potentially-affected community, religious, educational institutions and noise and vibration-sensitive businesses and critical working areas (such as theatres, laboratories and operating theatres) resulting in noise levels above the NMLs must not be timetabled within sensitive periods or during important events, unless other reasonable arrangements with the affected institutions are made at no cost to the affected institution or as otherwise approved by the Planning Secretary.	Yes	Yes	To date no works resulting in noise levels above the NML in the vicinity of religious, educational institutions and noise and vibration-sensitive businesses and critical working areas were carried out during sensitive periods or important events. FH's Communication & Stakeholder Engagement Manager advised that should such works be planned consultation will be undertaken with the affected sensitive receivers in accordance with the Communication Strategy.	Interviews with FH's Environmental Manager and Communication & Stakeholder Engagement Manager
E18	<b>Noise and Vibration Mitigation</b> Mitigation measures must be implemented with the aim of achieving the following construction noise management levels and vibration criteria: (a) construction 'noise affected' noise management levels established using the Interim Construction Noise Guideline (DECC, 2009); (b) vibration criteria established using the Assessing vibration: a technical guideline (DEC, 2006) (for human exposure); (c) BS 7385 Part 2-1993 "Evaluation and measurement for vibration in buildings Part 2" as they are "applicable to Australian conditions"; and (d) the vibration limits set out in the German Standard DIN 4150-3; Structural Vibration- effects of vibration on structures (for structural damage). Any work identified as exceeding the noise management levels and/or vibration criteria must be managed in accordance with the Noise and Vibration CEMP Sub-Plan.  <i>Note: The Interim Construction Noise Guideline identifies 'particularly annoying' activities that require the addition of 5 dB(A) to the predicted level before comparing to the construction Noise Management Level.</i>	Yes	Yes	Implementation of the noise and vibration mitigation measures included in Chapter 8 of the NVMP is confirmed through noise monitoring as follows: - monthly monitoring to check background noise levels - as required during OOHWs. No vibration monitoring was undertaken as no vibration intensive activities were carried out during the audit period.	Construction Monitoring Report Nov 2021.
E19	To protect the heritage significant fabric of heritage items, the Proponent must seek the advice of a heritage specialist on methods and locations for installing equipment used for vibration and noise monitoring at heritage-listed structures before installation.	Yes	Yes	This condition is addressed in Chapter 8 mitigation measures ID NVMM46 and OHMM47. No works near the heritage-listed structures have been carried out during the audit period.	Chapter 8 mitigation measures ID NVMM46 and OHMM47
E20	The Proponent must sequence construction along Broomfield Street to minimise the duration of time any section of the existing Broomfield Street noise barrier is partially or fully removed.	Yes	Yes	This condition is addressed in Chapter 8 mitigation measure ID NVMM18. No construction works requiring removal of the noise barrier along Broomfield Street have been carried out during the audit period.	Chapter 8 mitigation measure ID NVMM18. Interview with FH's Environmental Manager.
E21	Noise Mitigation - Operational Noise Mitigation Measures The Broomfield Street noise barrier must be a single continuous barrier.  <i>Note: Continuous for the purposes of this condition allows for the inclusion of access points where there are overlapping sections of noise barrier in accordance with the ARTC Noise Prediction and Mitigation Guideline.</i>	No	N/A	N/A	N/A
E22	The Proponent must prepare an Operational Noise and Vibration Review (ONVR) to confirm noise and vibration control measures that will be implemented for the operation of the CSSI. The ONVR must be prepared as an iterative design development and in consultation with relevant council(s) and other relevant stakeholders and must: (a) confirm the appropriate operational noise and vibration objectives and levels for surrounding development, including existing sensitive land uses; (b) confirm the operational noise predictions based on the final design. Confirmation must be based on an appropriately calibrated noise model (which has incorporated data obtained from noise monitoring); (c) confirm the operational noise and vibration impacts at sensitive receivers based on the final design of the CSSI, including operational daytime LAeq,15 hour and night-time LAe, 9 hour noise contours; (d) examine all noise and vibration mitigation measures, with a focus on source control and design; (e) identify specific physical and other mitigation measures that will be installed for controlling noise and vibration impacts at the source and at the receiver (if relevant) including location, type and timing of mitigation measures; (f) where noise and vibration objectives cannot be achieved, the ONVR must present an analysis of all noise and vibration mitigation measures, the 'best practice' achievable noise and vibration outcome and justification for the measure decided upon based upon the analysis; (g) fully describe the design, assumptions, calculation process, mitigation strategy, and other relevant factors; (h) include a consultation strategy to seek feedback from directly affected landowners on the noise and vibration mitigation measures; and (i) procedures for the management of operational noise and vibration complaints.  Notwithstanding the above, the Proponent is responsible for the cumulative operational noise impacts of the CSSI and the Southern Sydney Freight Line (SSFL) project. That is, the ONVR must consider the pre-SSFL noise levels at sensitive receivers in meeting the objectives in Condition E22(a) for the cumulative operation of the CSSI and SSFL.  The ONVR is to be verified by a suitably qualified and experienced noise and vibration expert. The ONVR is to be undertaken at the Proponent's expense and submitted to the Planning Secretary for approval before the implementation of mitigation measures. The ONVR must be made publicly available consistent with the requirements of Condition B10. The Proponent must implement the identified noise and vibration control measures no later than 6 months after the commencement of construction, unless otherwise agreed with the Planning Secretary.  <i>Note: Nothing in this approval devolves the Proponent from meeting the requirements of approval MP05_0089 (as modified) in regard to operational noise outcomes.</i>	No	N/A	N/A	N/A
E23	Operational noise and vibration mitigation measures as identified in Condition E22 that will not be physically affected by works, must be implemented within six (6) months of the commencement of construction in the vicinity of the impacted receiver to minimise construction noise impacts, and detailed in the Noise and Vibration CEMP Sub-Plan for the CSSI.  <i>Note: For the purpose of Conditions E23 and E25, operational noise mitigation measures refer to at-property treatments, the detail of which would broadly be included in the Noise and Vibration CEMP Sub-Plan. When detail on the specific mitigation measures is known and before the implementation of the mitigation measures, the CEMP Sub-Plan must be updated.</i>	No	N/A	N/A	N/A
E24	Where implementation of operational noise mitigation measures cannot be implemented within six months of commencement of construction in accordance with Condition E23, the Proponent must submit to the Planning Secretary a report providing justification as to why. The report must include details of temporary measures that would be implemented to reduce construction noise impacts, until such time that the operational noise mitigation measures identified in Condition E21 are implemented. The report must be submitted to the ER for approval within six (6) months of the commencement of construction which would affect the identified sensitive land uses.	No	N/A	N/A	N/A
E25	Within 12 months of the commencement of operation of the CSSI, the Proponent must undertake monitoring of operational noise to compare actual noise performance of the CSSI against the noise performance predicted in the review of noise mitigation measures required by Condition E21.  The Proponent must prepare an Operational Noise Compliance Report to document this monitoring. The Report must include, but not necessarily be limited to: (a) noise monitoring to assess compliance with the operational noise levels predicted in the review of operational noise mitigation measures required under Condition E21; (b) a review of the operational noise levels in terms of criteria and noise goals established in the NSW Rail Infrastructure Noise Guideline 2013; (c) methodology, location and frequency of noise monitoring undertaken, including monitoring sites at which CSSI noise levels are ascertained, with specific reference to locations indicative of impacts on receivers; (d) details of any complaints and enquiries received in relation to operational noise generated by the CSSI between the date of commencement of operation and the date the report was prepared; (e) any required recalibrations of the noise model taking into consideration factors such as noise monitoring and actual traffic numbers and proportions; (f) an assessment of the performance and effectiveness of applied noise mitigation measures together with a review and if necessary, reassessment of mitigation measures; and (g) identification of additional measures to those identified in the review of noise mitigation measures required by Condition E18, that are to be implemented with the objective of meeting the criteria outlined in the NSW Rail Infrastructure Noise Guideline 2013 and Industrial Noise Policy (EPA, 2000), when these measures are to be implemented and how their effectiveness is to be measured and reported to the Planning Secretary and the EPA.  The Operational Noise Compliance Report must be submitted to the Planning Secretary and the EPA for information within 60 days of completing the operational noise monitoring and made publicly available.	No	N/A	N/A	N/A
<b>SOCIO-ECONOMIC, LAND USE AND PROPERTY</b>					
E26	The Proponent must identify the utilities and services (hereafter "services") potentially affected by construction to determine requirements for diversion, protection and/or support. The Proponent, in consultation with service providers, must ensure that disruption to services resulting from the Construction is avoided where possible. Where unavoidable, customers must be advised in accordance with the Communication Strategy required under Condition B1.	Yes	Yes	FH advised that identification of utilities and services that will potentially be affected by construction is in progress as part of the detailed design of the project. Detailed design is scheduled to be completed in March 2022.	Interviews with FH project personnel.

E27	Condition Survey Before commencement of any construction, a structural engineer must undertake condition surveys of all buildings, structures, utilities and the like that are identified in the Noise and Vibration CEMP Sub-Plan as being at risk of damage due to construction vibration. The results of the surveys must be documented in a Condition Survey Report for each item surveyed. Copies of Condition Survey Reports must be provided to the owners of the items surveyed, and no later than one month before the commencement of construction, or as otherwise instructed or agreed to by the utility operator.	Yes	No	ARTC and FH advised that approximately 30% of the properties that were identified in the Noise and Vibration CEMP Sub-Plan as being at risk of damage due to construction vibration were able to be surveyed to date. An email from ARTC to DPIE dated 5 November 2021 highlighted the difficulties complying with the timing requirements of this condition and explained that the issue is largely due to the inability to access and approach residents in person during COVID-19 lockdown restrictions over previous months, and an understandable hesitancy from residents to allow the Contractor to enter their private homes, all of which is reflected in the low take up rate so far.	Only 25 (out of 80) completed property dilapidation survey reports were sighted during the audit.
E28	After completion of construction, condition surveys must be undertaken by a structural engineer of all items for which condition surveys were undertaken in accordance with Condition E27. The results of the surveys must be documented in a Condition Survey Report for each item surveyed. Copies of Condition Survey Reports must be provided to the landowners of the items surveyed no later than three (3) months following the completion of construction.	No	N/A	Not triggered for this audit.	N/A
E29	The Proponent, where liable, must rectify any property damage caused directly or indirectly (for example from vibration) by the construction of the CSSI at no cost to the owner unless otherwise agreed with the owner.	Yes	Yes	No property damage caused directly or indirectly by the construction of the project has occurred during the audit period.	Interviews with FH's Senior Project Engineer and Communication & Stakeholder Engagement Manager. Complaints Register
E30	Public Open Space The Proponent must ensure that existing level of programmed softball activity at Jacquie Osmond Reserve can be maintained at all times, during construction and operation. The design of altered softball facilities must be undertaken in consultation with the Southern District Softball Association and Liverpool City Council.	Yes	Yes	Details of consultation in relation to the design of altered softball facilities at are provided in Appendix B of Jacquie Osmond Reserve - Softball Fields Design Report, ARTC, March 2021. The access arrangements to Jacquie Osmond Reserve during construction are addressed in Section 10 of the approved Traffic and Transport Management Sub-Plan.  Site inspection carried out on 20 January 2022 as part of this audit confirmed access to Jacquie Osmond Reserve is maintained at all times.	Appendix B of Jacquie Osmond Reserve - Softball Fields Design Report, ARTC, March 2021 Section 10 of the approved Traffic and Transport Management Sub-Plan. Visual observations of access arrangements to Jacquie Osmond Reserve
E31	The proposed design of the altered softball facilities at Jacquie Osmond Reserve must be submitted to the Planning Secretary at least one month before the commencement of work at Jacquie Osmond Reserve that would affect the existing softball facilities. Evidence demonstrating consultation with the Southern District Softball Association and Liverpool City Council must be provided.  Note: Any work resulting from Condition E31 that is not consistent with the work identified in the documents listed in Condition A1 is subject to relevant environmental impact assessment under the Environmental Planning and Assessment Act 1979.	Yes	Yes	As per Condition A30 above.	As per Condition A30 above.
<b>SOILS</b>					
E32	All reasonably practicable erosion and sediment controls must be installed and appropriately maintained to minimise erosion and water pollution. When implementing such controls, any relevant guidance in the Managing Urban Stormwater series must be considered.	Yes	Yes	Erosion and sediment control measures consistent with the Managing Urban Stormwater series are included in Chapter 6 of the Soil and Water Management Sub-Plan (SWMP). Implementation is evidenced by: - Progressive Erosion and Sediment Control Plans (PESCPs) prepared for all current areas of works - Environmental Work Method Statements and permits are prepared and approved by FH Environmental Manager - Weekly and post rainfall site inspections carried out by FH Environmental Manager confirm compliance with PESCPs and the mitigation measures as per the SWMP - Erosion and sediment control is included in project's environmental induction - Regular toolbox talks and pre-starts include relevant elements of the erosion and sediment control, and - Water quality monitoring is carried out monthly and reported in Monthly Construction Monitoring Reports.	Chapter 6 of the SWMP. PESCP_01 -CLP -North of Cabramatta Creek, Broomfield St Open Drain Temporary Crossing, 12 Dec 2021 EWMS#8 - Working In or Near Sensitive Areas, 3 January 2022. EWMS#9 - Working In or Near Waterway, 11 January 2022 Weekly site inspection records for Nov 21 and Dec 21 Post rainfall site inspection 24 Jan 2022 Water quality monitoring data, Monthly Construction Monitoring Report Nov 21
E33	<b>Contaminated Sites</b> An Unexpected Contaminated Land and Asbestos Finds Procedure must be prepared before the commencement of construction and must be followed should unexpected contaminated land or asbestos (or suspected contaminated land or asbestos) be excavated or otherwise discovered during construction.	Yes	Yes	An Unexpected Contaminated Land and Asbestos Finds Procedure is included in Appendix E of the SWMP. No unexpected contaminated land or asbestos (or suspected contaminated land or asbestos) were discovered during the audit period.	Unexpected Contaminated Land and Asbestos Finds Procedure, Appendix E of the SWMP. Interview with FH Environmental Manager.
E34	The Unexpected Contaminated Land and Asbestos Finds Procedure required under Condition E33 must be implemented throughout construction. Where any unexpected contamination is found, the Proponent must conduct site investigations in accordance with Appendix C.	Yes	Yes	As per Condition E33 above. The auditor noted that Instrument of Determination does not include Appendix C and suggested clarification be sought by ARTC regarding this condition.	Appendix E of the SWMP. ARTC email to DPIE dated 8 February 2022 seeking clarification regarding this condition.
<b>SUSTAINABILITY</b>					
E35	The Proponent must endeavour to achieve the objective of a best practice level of performance for the CSSI being a minimum 'Design' and 'As built' rating score of 65 using the Infrastructure Sustainability Council of Australia infrastructure rating tool or an equivalent level of performance using a demonstrated equivalent rating tool.	Yes	Yes	A commitment to achieve the best practice level of performance and a rating score of 65 using the Infrastructure Sustainability Council of Australia infrastructure rating tool is included in a Sustainability Management Plan which was endorsed by the ER in Jan 2022. Sustainability components are included in weekly site inspections carried out by FH Environmental Manager. Sustainability matters are also discussed at the regular project team meetings and the meetings between FH and ARTC.	Sustainability Management Plan, Rev 2, Jan 2022 Environment & Communications / Community Relations Meeting Minutes, 24 Nov 2021 Project environmental induction.
<b>TRAFFIC AND TRANSPORT</b>					
E36	Access to all utilities and properties must be maintained during construction, where practicable, unless otherwise agreed with the relevant utility owner, landowner or occupier.	Yes	Yes	A requirement to maintain property access during construction is included in the TTMP and communicated to the project personnel and subcontractors through project environmental induction, toolbox talks and pre-starts. Property access issues were included in the Cabramatta Loop Project Launch presentation. Negotiations and communication with utilities and property owners in relation to access to their properties are carried out in accordance with the approved Communication Strategy. Property owners are notified in advance of any works that may affect access to their property via email and letterbox drops. Regular community notifications of the upcoming works are published on ARTC's project website.	Project environmental induction Toolbox talk 24 Nov 2021 Cabramatta Loop Project Launch presentation
E37	Safe pedestrian, cyclist and vehicular access must be maintained around construction compounds, construction ancillary facilities and work sites during construction. Where pedestrian, cyclist or vehicular access is restricted or removed due to construction, the relevant council(s) and the community must be informed one month before any disruption in accordance with the Communication Strategy under Condition B2.	Yes	Yes	Safe pedestrian, cyclist and vehicular access is maintained around the main site construction compound and work sites. Adequate signage, fencing and traffic control were observed during the site inspection on 20 January 2022.	Visual observations during site inspection on 20 January 2022.
E38	Any property access physically affected by the CSSI during construction must be reinstated to at least an equivalent condition, unless otherwise agreed by the landowner or occupier.	Yes	Yes	A requirement to reinstate property access physically affected during construction is included in the mitigation measures in Section 8.2 of the TTMP. No property access has been physically affected during the audit period.	Interviews with FH Environmental Manager and visual observations during site inspection on 20 January 2022.
E39	<b>Road Dilapidation</b> The Proponent must provide, before establishment of the Jacquie Osmond Reserve compound, a safe access road of appropriate standard for use by both construction vehicles and other public users to the parking area at Cabramatta Creek on the eastern side of the rail corridor and adjacent to Jacquie Osmond Reserve.	Yes	Yes	Station st pothole repairs were carried out by FH before establishment of Jackie Osmond Reserve compound in late Nov 2021.	ER site inspection report dated 24 November 2021, items 5.08 and 5.09.
E40	Before any local or private road is used by a Heavy Vehicle for the purposes of construction of the CSSI, a Road Dilapidation Report must be prepared for the road. A copy of the Road Dilapidation Report must be provided to the relevant council(s) within three (3) weeks of completion of the survey and no later than one (1) month before the road is used by Heavy Vehicles associated with construction of the CSSI.	Yes	Yes	Road dilapidation surveys of the local roads and footpaths were carried out by Viska Structural Engineers on 25 August 2021. A copy of the dilapidation report was provided to Liverpool Council on 12 September 2021.	Road Dilapidation Reports for Broomfield st, Bridge St and Railway Parade, August 2021 FH letter to Liverpool Council dated 12 September 2021 advising completion of the road dilapidation survey and providing a copy of the report.
E41	If damage to roads occurs as a result of the construction of the CSSI, the Proponent must either (at the landowner's discretion): (a) compensate the relevant road authority for the damage so caused. The amount of compensation may be agreed with the relevant road authority, but compensation must be paid even if no agreement is reached; or (b) rectify the damage to restore the road to at least the condition it was in pre-works as identified in the Road Dilapidation Report.	Yes	Yes	No damage to the local roads caused by project's construction activities were recorded during the audit period.	Interview with FHC Superintendent and Senior Project Engineer Complaints Register
E42	Before the Jacquie Osmond Reserve compound is decommissioned, the Proponent must ensure that the access road between Station Street and Jacquie Osmond Reserve is rectified to a suitable standard for ongoing access by public users. The rectification works must include at a minimum, the grading and asphalt re-sheeting of the road. The design of the rectification works must be undertaken in consultation with Council.	Yes	N/A	Not triggered.	N/A
E43	<b>Parking</b> Construction vehicles (including staff vehicles) associated with the CSSI must be managed to minimise parking, idling and queuing on public roads.	Yes	Yes	Parking of the construction vehicles and the measures to minimise parking, idling and queuing on public roads during construction are included in project environmental induction, toolbox talks and pre-starts.	Project environmental induction Toolbox talk 24 Nov 2021
E44	The Proponent must minimise the permanent loss of on-street car parking spaces. The selection of any location(s) to replace on-street parking must take into account the ability to provide landscaping to address visual amenity, limit increases in impervious surfaces and be undertaken in consultation with Council. The Proponent must consider any landscaping and urban design plans, endorsed by Council at or before the date of this approval, relevant to the selected location(s) in the design of the parking.	Yes	Yes	This condition has been addressed in Sections 5 and 6 of the Urban Design and Landscape Plan, October 2021. UDLP has been prepared to inform project detailed design which is currently in progress	<a href="https://proj.artc.com.au/cabramatta-loop/wp-content/uploads/sites/25/2021/12/Cabramatta-Loop-Project-UDLP.pdf">https://proj.artc.com.au/cabramatta-loop/wp-content/uploads/sites/25/2021/12/Cabramatta-Loop-Project-UDLP.pdf</a>
E45	<b>Road Safety</b> The ancillary road infrastructure associated with the CSSI (including new or modified local roads, parking, pedestrian and cycle infrastructure) must be designed to meet relevant design, engineering and safety guidelines.	Yes	N/A	Not triggered. Project's detailed design is still in progress.	N/A
E46	An independent Road Safety Audit(s) must be undertaken by an appropriately qualified and experienced person to assess the safety performance of new or modified local roads, heavy and over-height vehicle routes, parking, pedestrian and cycle infrastructure provided as part of the CSSI to ensure that relevant road safety standards are met.	No	N/A	N/A	N/A
E47	<b>Pedestrian and Cyclist Transport</b> All pedestrian and cyclist infrastructure impacted by the CSSI must: (a) be replaced to a standard equal or better to that existing prior to impact; (b) include appropriate signage and wayfinding measures; and (c) be completed and ready for use before operation of the CSSI.	Yes	Yes	The commitments and requirements for replacing pedestrian and cyclist infrastructure to be impacted by the project are included in Sections 3 and 4 of the UDLP. UDLP has been prepared to inform project detailed design which is currently in progress.	Sections 3 and 4 of the UDLP.
<b>TREES</b>					
E48	<b>Tree Removal and Replacement Planting</b> The CSSI must deliver a net increase in trees. Replacement trees must target an increase in tree canopy and aim to enhance the relevant council's position in respect of the Sydney Green Grid. This condition does not apply to trees that are subject to a biodiversity offset.	Yes	N/A	Not triggered. No replacement of trees was carried out during the audit period.	N/A
E49	Replacement trees must: (a) be located on public land and prioritised within 500 metres of the Construction Boundary in consultation with the relevant council; (b) be provided no later than six (6) months following the commencement of operation; (c) have a minimum pot size of 20 litres except where the plantings are consistent with the pot sizes specified in a relevant council's plans / programs / strategies for vegetation management, street planting, or open space landscaping, or as agreed by the relevant council(s). In areas not subject to council plans / programs / strategies, pot sizes must be informed through consultation with the relevant council(s).	Yes	N/A	Not triggered. No replacement of trees was carried out during the audit period.	N/A
<b>URBAN DESIGN AND VISUAL AMENITY</b>					



E50	<b>Boundary Screening of Construction Ancillary Facilities</b> Boundary fencing or hoarding must be installed and secured around construction compounds, construction ancillary facilities and work sites to minimise amenity impacts on the surrounding community. This screening and hoarding must be installed prior to use of the construction compounds, construction ancillary facilities and work sites.	Yes	Yes	Site inspection confirmed boundary fencing and hoarding were installed around the main site compound and the current work sites.	Visual observations during site inspection.
E51	<b>Lighting and Security</b> The Proponent must construct and operate the CSSI with the objective of minimising light spill and managing residual night lighting impacts to surrounding properties. All lighting associated with the construction and operation of the CSSI must be consistent with the requirements of Australian Standard 4282-1997 Control of the obtrusive effects of outdoor lighting and relevant Australian Standards in the series AS/NZ 1158 – Lighting for Roads and Public Spaces.	Yes	N/A	Project detailed design is still in progress and is scheduled to be completed in March 2022. therefore, this condition, although applicable, is not triggered for this audit.	N/A
E52	<b>Urban Design</b> The CSSI must be designed to address the good design outcomes in Better Placed and principles of green infrastructure and outcomes in draft Greener Places by the NSW Government Architect.	Yes	N/A	Project detailed design is still in progress and is scheduled to be completed in March 2022. therefore, this condition, although applicable, is not triggered for this audit.	N/A
E53	<b>Urban Design and Landscape Plan</b> A UDLP must be prepared to inform the final design of the CSSI, in accordance with the project objectives, the commitments made in the documents listed in Condition A1, and the requirements of this approval. The UDLP does not apply to those elements, which for technical, engineering, or ecological requirements, or other requirements as agreed by the Planning Secretary, do not allow for alternate design outcomes.	Yes	Yes	The UDLP has been prepared to inform the detailed design which is currently in progress.	UDLP Rev E, Oct 2021
E54	The UDLP must be prepared in consultation with relevant council(s). The UDLP must include, but not necessarily be limited to: (a) an analysis of the good design outcomes in the context of the CSSI; (b) the urban design and landscape requirements of this approval, including but not limited to: (i) plantings; (ii) pedestrian and cyclist infrastructure required in accordance with Condition E47; (iii) permanent works at Jacque Osmond Reserve; and (iv) sustainability initiatives; (c) the design of the CSSI elements including their form, materials and detail; (d) the location of existing vegetation, areas of vegetation to be retained and proposed planting and seeding details, including the use of local indigenous species for revegetation activities; (e) the location of heritage items; (f) developed visuals, cross sections and plans showing the proposed design outcome; and (g) details of strategies to rehabilitate, regenerate or revegetate disturbed areas and successfully establish and maintain the resulting new landscape.	Yes	Yes	Details of consultation with the relevant councils are provided Section 9 of the UDLP. DPIE letter of approval of UDLP confirmed that: - it has been prepared in consultation with the relevant stakeholders, and - contains the information required by the conditions of approval.	Section 9 of the UDLP. DPIE letter dated 26 October 2021 confirming approval of the UDLP.
E55	The Proponent must submit the UDLP to the Planning Secretary for approval. Construction of permanent built works or landscaping that are the subject of the UDLP must not be commenced (in the area to which the UDLP applies) until the UDLP has been approved by the Planning Secretary. The UDLP, as approved by the Planning Secretary, must be implemented during construction and operation.	Yes	Yes	UDLP Rev E 18 Oct 2021 was submitted to and approved by the Planning Secretary on 18 October 2021.	DPIE letter dated 26 October 2021 confirming approval of the UDLP.
E56	<b>Operational Maintenance</b> The ongoing maintenance of landscaping and works implemented as part of this approval will remain the Proponent's responsibility until satisfactory arrangements have been put in place for the transfer of the asset to the relevant authority, unless agreed by the Planning Secretary. Before the transfer of assets, the Proponent must maintain items and works to at least the design standards established in the UDLP.	No	N/A	N/A	N/A
<b>WASTE</b>					
E57	Waste generated during construction and operation must be dealt with in accordance with the following priorities: (a) waste generation must be avoided and where avoidance is not reasonably practicable, waste generation must be reduced; (b) where avoiding or reducing waste is not possible, waste must be re-used, recycled, or recovered; and (c) where re-using, recycling or recovering waste is not possible, waste must be treated or disposed of at a waste management facility or premise lawfully permitted to accept the materials or in accordance with a Resource Recovery Exemption or Order issued under the Protection of the Environment Operations (Waste) Regulation 2014 (NSW), or to any other place that can lawfully accept such waste.	Yes	Yes	Waste generation during construction is addressed in Section 6.1 and Chapter 7 of the Waste and Energy Management Sub-Plan (WEMP). Waste disposal, minimisation and recycling/re-use measures are included in: - project environmental induction and the 'Green Rules' - toolbox talks and pre-starts, and - weekly environmental inspection checklist. A Waste Register is maintained as per Appendix B of the WEMP. Waste segregation into the appropriately labelled containers at the main compound was observed during the site inspection.	Section 6.1 and Chapter 7 of the WEMP. Project environmental induction Environmental performance standards 'Green Rules' Waste Register Visual observation during site inspection.
<b>WATER</b>					
E58	The CSSI must be designed, constructed and operated so as to maintain the NSW Water Quality Objectives where they are being achieved as at the date of this approval, and contribute towards achievement of the NSW Water Quality Objectives over time where they are not being achieved as at the date of this approval, unless an EPL in force in respect of the CSSI contains different requirements in relation to the NSW Water Quality Objectives, in which case those requirements must be complied with.	No	N/A	Not triggered. This condition will be addressed through detailed design which is currently in progress.	N/A
E59	Drainage feature crossings (permanent and temporary watercourse crossings and stream diversions) and drainage swales and depressions must be carried out in accordance with relevant guidelines and designed by a suitably qualified and experienced person.	Yes	Yes	Permanent waterway crossings will be addressed during detailed design which is currently in progress. PESCPs are prepared by the Environmental Manager in accordance with the Blue Book (Landcom, 2004 and DECC, 2008) for the temporary waterway crossings, stream diversions, drainage swales and depressions.	PESCP_01 Broomfield St Open Drain Crossing
E60	Works on waterfront land must be carried out in accordance with controlled activity guidelines.	Yes	Yes	The works near Cabramatta Creek are carried out in accordance with a 'Working In or Near Waterways' EWMS. The mitigation measures included in this EWMS are consistent with the NSW Department of Primary Industries (Water) Guidelines for controlled activities on waterfront land – Riparian corridors (2018). Work crews undertaking the works in waterways attend a toolbox talk and sign a Works in Waterways Permit.	'Working In or Near Waterways' EWMS Rev0 CLP Works in Waterways Permit, Rev1 12 October 2021.
E61	<b>Stormwater Drainage</b> The CSSI must be designed and constructed so not to worsen the overall efficiency of the existing stormwater drainage system affected by the works except where it is allowed for in compliance with Condition E3.	Yes	N/A	Not triggered. This condition will be addressed through detailed design which is currently in progress.	N/A



# APPENDIX B

PLANNING SECRETARY AUDIT  
TEAM AGREEMENT





Mr Hadi Johan  
Environment Manager  
Australian Rail Track Corporation Ltd  
Level 15, 60 Carrington Street  
Sydney NSW 2000

20/12/2021

Dear Hadi Johan

**Cabramatta Loop Project (SSI-9186)  
Nomination of Independent Audit Team**

I refer to your request (SSI-9186-PA-24) seeking the agreement of the Secretary of the Department of Planning, Industry & Environment (the Department) to the nominated independent audit team to undertake the Independent Audits for the Cabramatta Loop Project (the Project), under SSI-9186 (the Approval).

The Department has reviewed the nominations and additional information you have provided and is satisfied that the nominated auditors are suitably qualified, experienced and independent. Consequently, I can advise that the Secretary agrees to the nominated audit team to undertake the first Independent Audit for the Project.

In accordance with Conditions A28-A30 of the Approval and the Independent Audit Post Approval Requirements (DPIE, May 2020) (IA PAR), the Secretary has agreed to the following audit team:

- Mr Darren Green, Element Environment as Lead Auditor;
- Ms Irina Kliger, Element Environment as Auditor; and
- Mr Mark Roberts, Element Environment as Peer Reviewer and Audit Challenger.

Please ensure this correspondence is appended to the Independent Audit Report.

The Independent Audit must be prepared, undertaken and finalised in accordance with the IA PAR. Failure to meet these requirements will require revision and resubmission.

Please note that a further auditor nomination must be made and written agreement from the Secretary provided prior to undertaking any subsequent Independent Audits on the Project.

The Department notes that Mr Darren Green was certified as a lead environmental auditor (in accordance with ISO 14001:2004 Lead Auditor (TPECS)) in November 2012. Certification is recognised for a period of 10 years and as such Mr Green's lead environmental auditor certification is valid until November 2022. Consideration of any subsequent, ongoing auditor nomination with Mr Green as the nominated lead auditor will require evidence of valid certification, in accordance with

the IA PAR, covering the period the nomination has been sought for (i.e. for the period of construction of the Project).

The Department also reserves the right to request an alternate auditor or audit team for future audits.

Notwithstanding the agreement for the above listed audit team for the first Independent Audit on this Project, each respective project approval or consent requires a request for the agreement to the auditor or audit team be submitted to the Department, for consideration of the Secretary. Each request is reviewed and depending on the complexity of future projects, the suitability of a proposed auditor or audit team will be considered.

If you wish to discuss the matter further, please contact Thomas Minchin, Senior Compliance Officer on (02) 8289 6623.

Yours sincerely

A handwritten signature in black ink, appearing to read 'R. Sherry', with a large, stylized flourish at the end.

Rob Sherry  
Team Leader Compliance - Government Projects  
Compliance

As nominee of the Planning Secretary

# APPENDIX C

CONSULTATION






## Audit Scope: Cabramatta Loop Project (SSI-9186)



Claire Valis <claire.valis@dpie.nsw.gov.au>

To ● Irina Kliger

Cc ○ Thomas Minchin

 You replied to this message on 19/01/2022 09:50.

Good afternoon,

Thank you for your request for input into the scope of the first Independent audit for the Cabramatta Loop Project in accordance with SSI-9186 (the Approval).

Whilst the Department notes that the following items are already captured by section 3.3 of the Independent Audit PAR and therefore within the required audit scope, the Department requests that the audit gives particular attention to the following:

- The completion of the pre-commencement property condition surveys. In accordance with condition E27 of the Approval.
- The maintenance of site access to Jacqui Osmond Reserve.
- The temporary relocation of the mixed user path between Railway Pde and Broomfield St.
- Implementation of the CEMP and its sub plans.
- Implementation of the communications strategy, particularly with regard to the handling of stakeholder enquiries / complaints.

The Department does not require consultation with any additional stakeholders with regard to the audit scope at this time.

If there are any questions regarding the above requests please feel free to contact me.

Sincerely,  
Claire

**Claire Valis**  
**Compliance Officer**

Planning & Assessment | Department of Planning and Environment  
T 0299955389 | E [claire.valis@dpie.nsw.gov.au](mailto:claire.valis@dpie.nsw.gov.au)  
Level 16, 4 Parramatta Square | Locked Bag 5022 | Parramatta NSW 2124

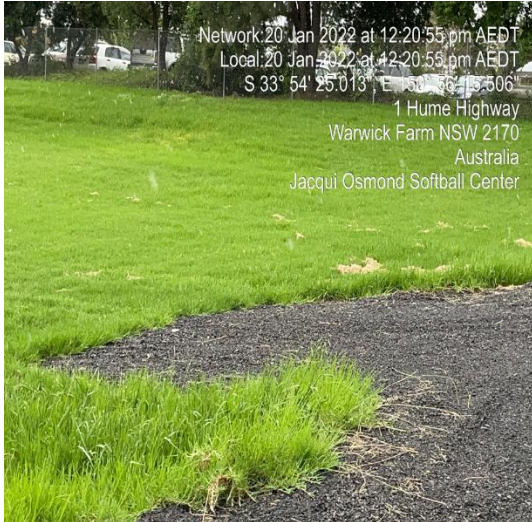
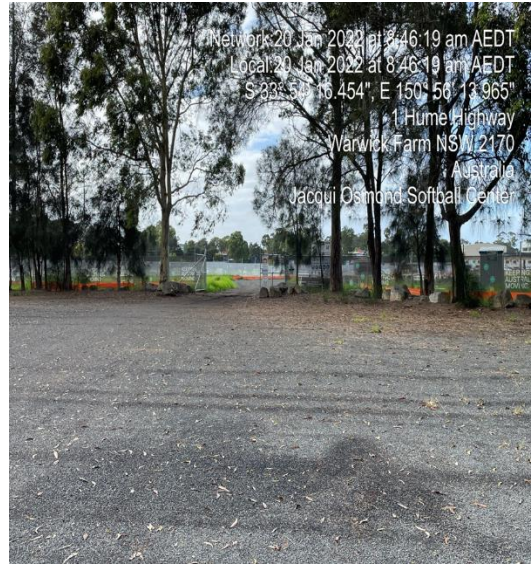
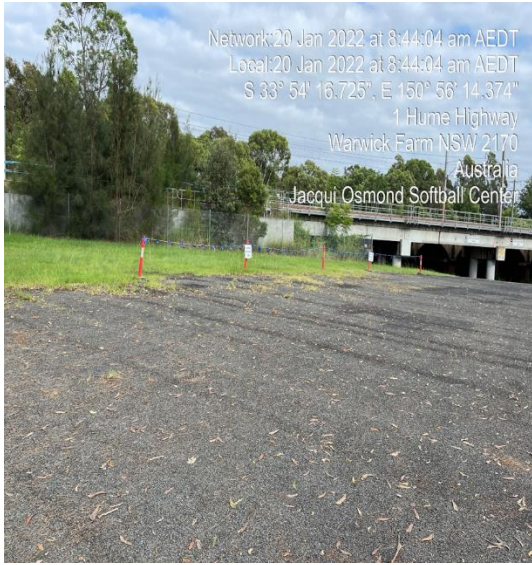


*The Department of Planning, Industry and Environment acknowledges that it stands on Aboriginal land. We acknowledge the traditional custodians of the land and we show our respect for elders past, present and emerging through thoughtful and collaborative approaches to our work, seeking providing places in which Aboriginal people are included socially, culturally and economically.*

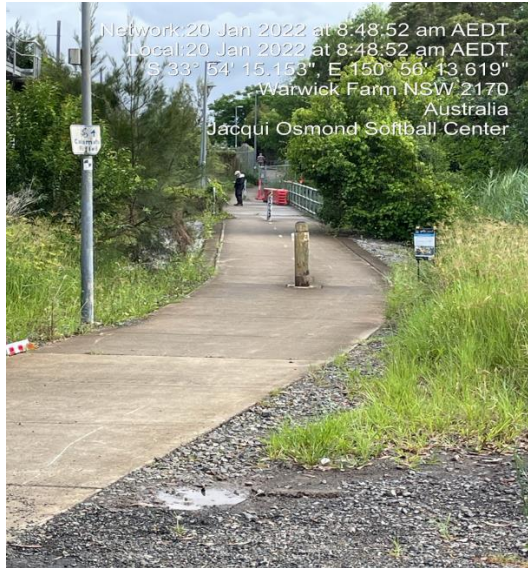
# APPENDIX D

SITE PHOTOGRAPHS

**Group A. Main site compound access and access to Jacqui Osmond Softball Center**



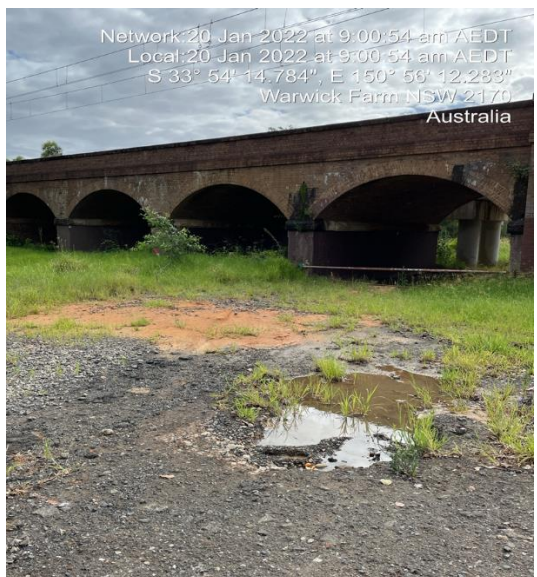
Group B. Relocation of the mixed user path between Railway Pde and Broomfield St



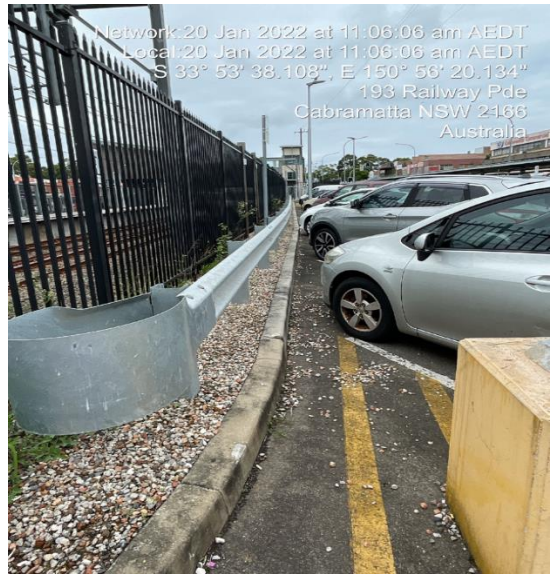
**Group B. Relocation of the mixed user path between Railway Pde and Broomfield St**



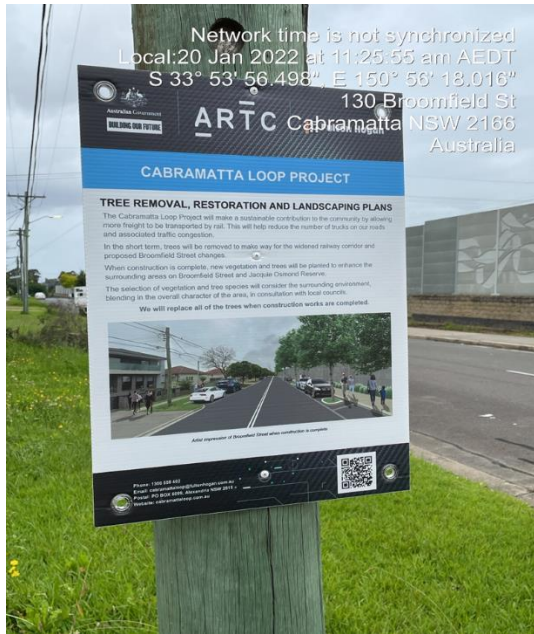
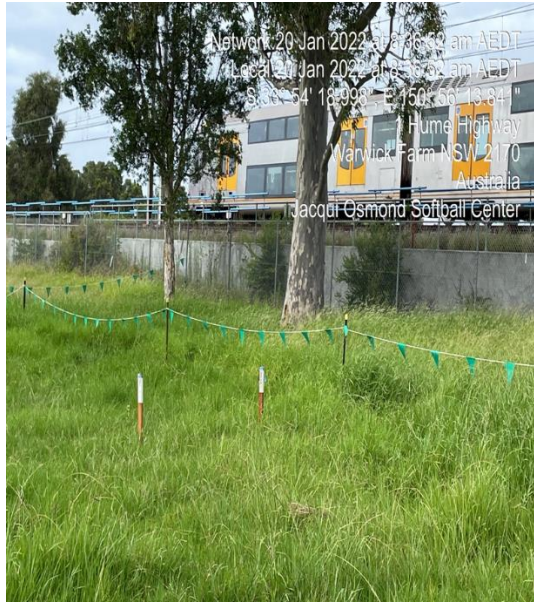
**Group C. Station street pothole repairs and adjoining areas of shared responsibility**



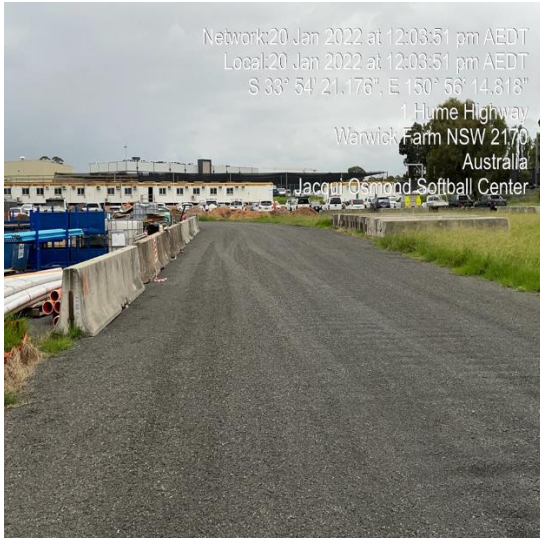
**Group D. Areas of upcoming works – Broomfield Street and Railway Parade**



**Group E. Exclusion fencing and signage**



Group F. Main site compound











SYDNEY NEWCASTLE CENTRAL COAST MACKAY  
[elementenvironment.com.au](http://elementenvironment.com.au)

